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# The complex nonstoichiometry of wüstite $\text{Fe}_{1-z}\text{O}$ : review and comments

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## Abstract

Thermodynamic properties and structural aspects of the nonstoichiometric wüstite  $\text{Fe}_{1-z}\text{O}$ , and its modifications - the so-called pseudo-phases - as functions of departure  $z$  from stoichiometry and of equilibrium temperature are reviewed from 1960 to present. The complexity of the equilibrium phase diagram is described in some details. The first order transition  $W \rightleftharpoons W'$  is specified on the iron/wüstite boundary near 1185 K. Transitions correlated to the modifications  $W_i$  at  $T(W) > 1185$  K and  $W'_j$  at  $T(W') < 1185$  K ( $i$  and  $j = 1, 2, 3$ ) are re-examined. Structural determinations based on the characterization of point defects stabilization and of their clustering are reviewed. Additionally, the pseudo-phases are examined based on the transformation of defect clusters or of their mode of distribution (*i.e.*, percolation or superstructure) with the inclusion of changes in electronic charge carriers.

**Keywords:** wüstite, alpha-gamma transition, point defects, defect clusters, pseudo-phases, percolation

## 1. Introduction

The nonstoichiometric iron monoxide  $\text{Fe}_{1-z}\text{O}$  is the main constituent of traditional blast furnace slags. Historically, this oxide was the subject of numerous studies in the general context of iron metallurgy improvement, and for its role in the fields of recycling of industrial waste, catalysis and nanoparticles.  $\text{Fe}_{1-z}\text{O}$ , also written equivalently as  $\text{Fe}_y\text{O}$  or  $\text{FeO}_x$ , is named after the German mineralogical appellation “wüstit”<sup>1</sup>. The wüstite is considered of particular interest by the geophysicists because it is a constituent of the lower mantle of earth. This oxide is in a steady state, between an invariant point near 600 °C and its melting temperature around 1400 °C, under an equilibrium oxygen pressure  $p'$  in the range  $10^{-25}$  to  $10^{-6}$  bar. Its large iron deficiency is expressed by the departure of stoichiometry  $z \in [0.04 - 0.18]$  around 1400 °C and  $z \cong 0.065$  near 600 °C.

Because of so many papers published before, numerous reviews about the nonstoichiometric wüstite are available in the literature. So, the present authors make choices of some of them or equivalent works:

Goodenough [1], Kofstad [2], Vallet [3], Burgmann [4], Gokcen [5], Men' *et al.* [6], Spencer, Kubaschewski [7], Bauer, Pianelli [8], Sorensen [9], Hazen, Jeanloz [10], Gleitzer, Goodenough [11], Gokcen [12], Lykasov *et al.* [13], Mrowec, Podgorecka [14], Long, Grandjean [15], Sundman [16], Wriedt [17], Collongues [18], Gleitzer [19], Smyth [20], Desré, Hodaj [21], Worrall, Coley [22].

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<sup>1</sup> There is no equivalent available in the English language for the German phoneme ‘ü’.

Multiple studies have addressed the nature of the point defects (iron vacancies and interstitials) in wüstite, and the concept of defect clustering was systematically developed with a large variety of structural models. The NaCl face centered cubic structure of wüstite is characterized by a high rate of iron vacancies, and the existence of a certain proportion of iron ions occupying interstitial sites. Crystallographic determinations showed that these defects are not disordered in the lattice. Diverse models of clusters of iron vacancies and interstitials have been proposed.

Because it simplifies the structural description of defects, the oxygen site is assumed to be fully occupied (*i.e.*, there is no significant amount of oxygen vacancies). Adopting the notation of Kröger and Vink [23], the formula of this monoxide can be developed as

$$\text{Fe}_{1-z}\text{O} = (\text{Fe}_{\text{Fe}})_{(1-3z)}(\text{Fe}_{\text{Fe}}^{\circ})_{(2z-t)}(\text{Fe}_{\text{i}}^{\circ\circ})_t(\text{V}_{\text{Fe}}^{\text{q}(\prime)})_{(z+t)}\text{O}_{\text{O}} \quad (1)$$

The ionic species  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$ , in octahedral sites, are noted  $\text{Fe}_{\text{Fe}}$  and  $\text{Fe}_{\text{Fe}}^{\circ}$ . The  $\text{Fe}^{3+}$  cations in tetrahedral (interstitial) sites are noted  $\text{Fe}_{\text{i}}^{\circ\circ}$ . Iron vacancies noted  $\text{V}_{\text{Fe}}^{\text{q}(\prime)}$  can be charged negatively corresponding to  $q=2$  or  $q=1$ , or neutral corresponding to  $q=0$ . Electric neutrality would result from electrons jumping in the conduction band or interacting with holes in the valence band. It can be recalled that the band gap of wüstite was recently evaluated at about 1.0 eV at 25 °C, which means that a large population of electrons in the conduction band and/or holes in the valence band can be expected at high temperature, depending on the oxygen partial pressure [24].

In this paper, we review historical (1960-2014) observations and concepts for wüstite at equilibrium and in quenched samples. Then, we show how the complex nonstoichiometry of wüstite can be better described using mixtures of point defects and their associations in clusters which fall into order progressively at increasing  $z$ .

## 2. The complex behavior of wüstite

### 2.1. Early work (1921-1961)

In 1921, **G. Chaudron** worked in H. Le Chatelier's lab. when he determined experimentally the external boundaries of the stable domain of ferrous oxide considered to be the daltonide 'FeO' [25]. Point C is at the intersection of the two boundaries, where three solid phases (Fe, Fe<sub>3</sub>O<sub>4</sub> and 'FeO') coexist at equilibrium at 570°C, temperature that has been revised particularly by Raccach, Vallet, Carel [26-29], and that is named Chaudron's point.

**Jette and Foote** demonstrated -measurements of the cell parameter and density- that wüstite was an iron deficient solid solution. They deduced that for the ionic compound, a missing  $\text{Fe}^{2+}$  was necessarily compensated by the oxidation of two other  $\text{Fe}^{2+}$  giving rise to electron holes  $\text{Fe}^{3+}$  [30].

**Darken and Gurry** studied in detail the phase diagram when determining the composition of wüstite chemically after slow quenching from  $\Theta$  °C= 1100, 1200, 1300 and 1400. The boundaries with iron and magnetite were determined down to Chaudron's point. Additionally, the authors determined exhaustively the molar partial and integral thermodynamic properties of wüstite [31].

By comparison of calculated and experimental entropy values, **Todd and Bonnickson**, and **Humphrey, King and Kelley** deduced that the lacunary iron lattice envisaged by Jette and Foote was ordered [30] [32] [33].

**Brynstad and Flood** observed that some (electron holes)  $\text{Fe}^{3+}$  are necessarily located in tetrahedral sites written as  $[\text{Fe}^{\text{III}}\text{O}_4]$  [34].

Using neutron diffraction analyses, **Roth** determined the proportion of  $\text{Fe}^{3+}$  occupying the tetrahedral sites of the fcc structure and concluded to the formation of clusters (2:1) constituted of two iron vacancies and one tetrahedral site occupied by a cation  $\text{Fe}^{3+}$  [35]. Tarte *et al.* also showed directly by I.R. spectroscopy the existence of these  $\text{Fe}_{\text{i}}^{\circ\circ}$  ions [36].

Using the formalism of the mass action law initially proposed by Carl Wagner *et al.* [37] [38], Brynstad and Flood [34], and subsequently **Smyth** [39] established a simplified expression linking the composition  $z$  to the equilibrium oxygen pressure as:

$$z \propto p'^{1/s} \quad (2)$$

In a first attempt, Smyth characterized the defect structure of both  $Mn_{1-z}O$  and  $Fe_{1-z}O$  based on the experimental compositional data from Darken and Gurry [31]. His work often referenced has been continued by many authors in different areas of the defect chemistry, particularly the study of the clustering.

## 2.2. Modifications or pseudo-phases W1, W2, W3

### 2.2.1. Racciah-Vallet's team

During years 1962-65, three supposed « allotropic varieties » or « modifications » noted  $W_i$  ( $i=1,2,3$ ) named later « subphases » and then « pseudo-phases » by other authors are discovered by **Racciah** (doctoral thesis [40]), Vallet, and Kléman additionally [41-45] from their experimental measurements. An initial layout of the corresponding phase diagram is proposed, based on coordinates  $(\Theta \text{ } ^\circ\text{C}, x)$  [26].

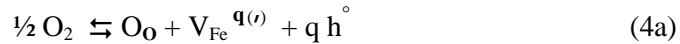
During their studies from 1961 to 1989, **Vallet et al.** establish correlations between mass variation, *i.e.*, departure from stoichiometry expressed by  $x$  in  $FeO_x$ , temperature  $T$  and oxygen partial pressure  $p'$  under equilibrium (see also [3]). They describe the phase diagram of wüstite  $FeO_x$  above  $911^\circ\text{C}$  through the following three equations connecting  $\log p'$  noted  $l'$  with the composition  $x$  and temperature  $T$ , and expressing the three thermodynamic behaviors or pseudo-phases W1, W2 and W3, successively [29]:

$$W1 : \quad l' = (46753.4T^{-1} - 7.3781).x + (-78825.3T^{-1} + 16.0613) \quad (3a)$$

$$W2 : \quad l' = (-9568.9T^{-1} + 31.1728).x + (-18413.3T^{-1} - 25.2569) \quad (3b)$$

$$W3 : \quad l' = (-33238.9T^{-1} + 48.3669).x + (6883.9T^{-1} - 43.5669) \quad (3c)$$

To better relate the nonstoichiometry and equilibrium pressure  $p'$  to the presence of defects (mainly cation vacancies and interstitials), we have expressed  $l'$  as a function of  $z$  instead of  $x$  and we have reported  $l'$  as a function of  $\log z$  values. It can be recalled that these relationships derive from the general expression of equilibrium constants  $K(T)$  linking the composition  $z$  to the oxygen partial pressure  $p'$ , following the basic setup:



$$\text{with } [h^\circ] = q \cdot [V_{Fe}^{q(\prime)}] = q \cdot z,$$

$$K(T) = \{[O_O] \cdot [V_{Fe}^{q(\prime)}] \cdot [h^\circ]^q\} / (p')^{1/2} = C \cdot (z^s / p')^{1/2} \quad (4b)$$

$$\rightarrow \quad \log z = (1/s) \cdot \log(K(T)/C)^2 + (1/s) l' \quad (4c)$$

where  $C$  depends on  $q$  [39]. For iron vacancies expressed  $V_{Fe}$ , exponent  $q(\prime)$  indicates a charge  $q$  times minus;  $h^\circ$  correspond to an electron hole. In the expression of  $K(T)$ , we have neglected the activity coefficients, considering that they are slowly varying with  $z$  in a limited composition range. The activity  $[O_O]$  of oxygen on its site fcc is considered equal to 1 because no significant amount of vacancies can be present in the oxygen lattice. Finally, we have taken into account the relationship  $-q \cdot [V_{Fe}^{q(\prime)}] + [h^\circ] = 0$  (electroneutrality condition) with  $[V_{Fe}^{q(\prime)}] = z$ .

The equilibrium constants depend on the values 2, 1, and 0 of  $q$ . The three hypothetical corresponding values of exponent  $s$  should be 6 ( $q=2$ ), 4 ( $q=1$ ), and 2 ( $q=0$ ) (more likely close to these values, taking into account the various approximations made). In the remainder of this review,

exponent  $s$  is taken as characteristic of the specific equilibrium equation connecting the fractions of point defects between them.

Let us note that the relationships between activities and compositions  $z$  are not strictly linear. They assume the existence of three domains and allow a comparison with classical models of defect equilibria. In each domain, a unique model of defect equilibrium is supposed to be valid, which is not strictly exact because of the continuous evolution of interactions between defects, as composition and temperature vary in the phase diagram.

### 2.2.2. Fixed temperature and variable composition

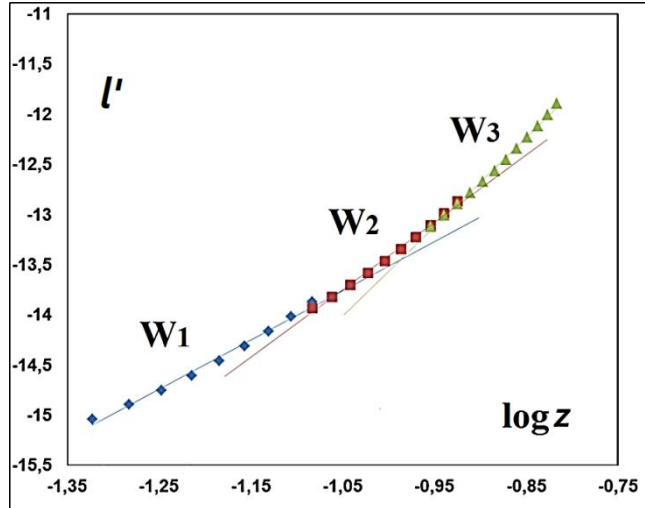
To illustrate the different behaviors or transitions between one and another in Fig. 1 below, we detail the relation  $\log z$  vs  $l'$  (see relationship 4c) in the specific case of the Vallet *et al.* data, as obtained at 1000 °C ( $T=1273$  K), using the relationships (3a, b, c) above, and transforming them into the following relationships:

$$W1: \quad \log z = 0.2036 l' + 1.7511 \quad \rightarrow \quad s = 4.9 (\pm 0.10) \quad (5a)$$

$$W2: \quad \log z = 0.1369 l' + 0.8352 \quad \rightarrow \quad s = 6.7 (\pm 0.12) \quad (5b)$$

$$W3: \quad \log z = 0.1124 l' + 0.5246 \quad \rightarrow \quad s = 8.9 (\pm 0.15) \quad (5c)$$

From the three segments observable on the curve  $l'$  vs  $\log z$  in Fig. 1, we can derive three different values:  $s(W1) = 4.9$ ,  $s(W2) = 6.7$ , and  $s(W3) = 8.9$ . These values are similar to the ones found by Toft Sørensen and El Sayed Ali, and Rekas and Mrowec [46] [47]. In reality, there is no reason for assuming linear relationships given the probable existence of mixtures of defects, and of their continuous evolution conditioned by the changes in charge of  $V_{Fe}^{q(\cdot)}$  ( $q=0,1,2$ ).



**Fig. 1. The logarithm  $l'$  of oxygen partial pressure  $p'$  vs the logarithm of composition  $z$  derived from the data [29] at 1273 K.**

### 2.2.3. Fixed composition and variable temperature

For a fixed value  $x = 1.130$  ( $z = 0.115$ ), we report in Fig. 2 the experimental values of  $l'$  versus  $1/T$  using the data of Vallet and Carel [29]. Three quasi linear domains can be distinguished suggesting the existence of three Gibbs free energies of reaction in relation with the equilibrium constant  $K(T)$ , with the classical relationship  $\Delta G(\text{reaction}) = \Delta H - T\Delta S = -RT \ln K$ . The linear correlations are:

$$W1: \quad l' = -2.5994(10^4 \cdot T^{-1}) + 7.724 \quad (6a)$$

$$W2: \quad l' = -2.9226(10^4 \cdot T^{-1}) + 9.9684 \quad (6b)$$

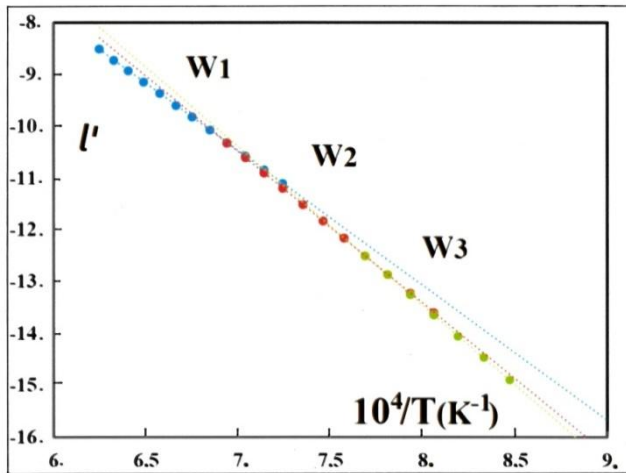
$$W3: \quad l' = -3.0676(10^4 \cdot T^{-1}) + 11.088 \quad (6c)$$

Using the simplified relationship (4b) without activity coefficients, we can derive the following relations for the fixed value  $z = 0.115$  following:

$$\log K(T) = (s/2) \cdot \log z - \frac{1}{2} l' + \log C = - (2/2.3026) \Delta G/RT \quad (7a) \rightarrow dl' / d(1/T) = (2/2.3026) \Delta H/R \quad (7b)$$

From relation (7b), three values of enthalpies can be determined, linked to the changes in slopes and attributable to the pseudo-phases:

|     |                                    |  |
|-----|------------------------------------|--|
| W1: | $\Delta H_1/R = - 25526 \text{ K}$ | $\rightarrow \Delta H_1 = - 2.20 \text{ eV}$ |
| W2: | $\Delta H_2/R = - 29322 \text{ K}$ | $\rightarrow \Delta H_2 = - 2.52 \text{ eV}$ |
| W3: | $\Delta H_3/R = - 31008 \text{ K}$ | $\rightarrow \Delta H_3 = - 2.67 \text{ eV}$ |



**Fig. 2. Variation of  $l'$  vs  $1/T$  for a fixed value  $z = 0.115$ : three quasi linear correlations corresponding to the initial determinations in the three domains W1 (high T), W2 (intermediate T) and W3 (low T) give rise to three activation energies  $\Delta H_i$ .**

At the same time, a qualitative dilatometric study under  $\text{CO}_2/\text{CO}$  indicates weak changes of slopes in curves  $\Delta l(T)$  with intersections located on the boundary W2/W3 [48]. In addition, several correlations between the cubic cell parameter obtained after quenching or *in situ* and the composition  $x$  in  $\text{FeO}_x$  led correspond to the separation in three pseudo phases or subdomains of the equilibrium phase diagram [49] [50].

In the equations above, we have neglected the activity coefficients, assuming that the ionic interactions remained constant as  $z$  varies. Another point of view would be to consider that a significant evolution of the activity coefficients can be observed and that the variation is associated with molar fractions [i] of point defects at equilibrium (the activity  $a_i$  being proportional to the molar fraction:  $a_i = \gamma_i \cdot [i]$ ). In the case of ionic solids, these activity coefficients  $\gamma_i$  are generally used to express deviations from ideal models of isolated defects at equilibrium.

### 2.3. Abnormal behavior near 1184/1185 K

#### 2.3.1. A splitting of the phase diagram

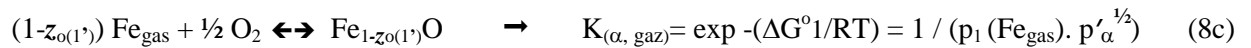
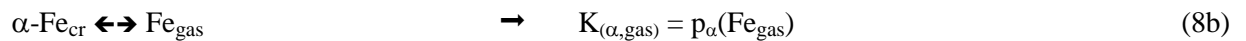
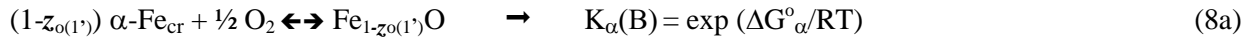
Vallet proposes a separation of an upper subdomain W of the phase diagram from the lower subdomain W' on each part of the first order transformation  $\alpha\text{-Fe} \rightleftharpoons \gamma\text{-Fe}$  [51]. Each subdomain is constituted of three pseudo-phases W<sub>j</sub> below and W<sub>i</sub> above 1184 K. Because the properties of W' and W are distinct, the boundaries with  $\alpha$ - or  $\gamma$ -iron of the stability domains are also distinct. That is the reason for the existence of points B and A, at the upper and lower end respectively of the corresponding curves in the phase diagram (see [28], lines at 1184.15 K in Tables IV and V p. 723 and 724). The limit compositions on these boundaries  $y_{\text{O}(1)} = 0.9427$  or  $z_{\text{O}(1)} = 0.0573$ , and  $y'_{\text{O}(1')} = 0.9528$  or  $z_{\text{O}(1')} = 0.0472$  of W1 and W'1 in equilibrium with  $\gamma\text{Fe-O}_E$  and  $\alpha\text{Fe-O}_E$ , are proposed at points A and B, respectively, both assumed at 1184 K, which cannot strictly occur as discussed below.

### 2.3.2. Thermodynamic inconsistency

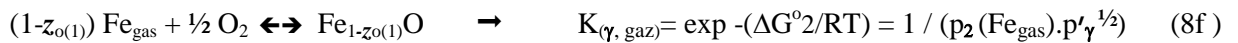
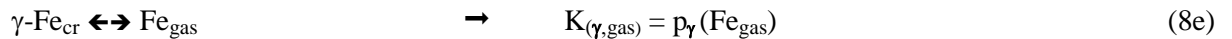
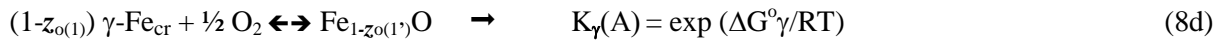
In an extended and careful review published in 1991, **Wriedt** [17] asks questions about the isotherm at 1184 K, and its assumed continuity, while it seems to correspond with transitions  $W_i/W_j$ , *i.e.*, with five sub-boundaries for the indexes 1/1, 2/1, 2/2, 2/3 and 3/3. Are they linked to the  $\alpha$ - $\gamma$  Fe transformation? These pending questions have no answer until the 1980s.

The basic equations governing the various equilibria between gases and solid phases (see equilibrium diagram in Fig. 3) are listed below. Particularly at the two boundaries involving  $\alpha$ -Fe<sub>cr</sub> or  $\gamma$ -Fe<sub>cr</sub> (subscript 'cr' for 'solid', *i.e.*, 'crystallized'), equilibria can be expressed:

1) on the boundary  $\alpha$ Fe/W<sub>1</sub> from point B to point C,



2) similarly, on the boundary Fe $\gamma$ /W<sub>1</sub> from point A to melting,



At the arbitrarily unique temperature of 1184 K, it is thus necessary to apply two different partial pressures  $p_1$  and  $p_2$  of Fe(gas), at equilibrium with the solid at points A and B. Obviously, at the unique  $\alpha$ - $\gamma$ Fe equilibrium temperature, it exists only one value of the pressure  $p(\text{Fe}_{gas}) = 3.005 \times 10^{-11}$  atm. Thus, the points A and B cannot be at the same temperature. Correlatively, in the case of the points A and B differing in temperatures  $T(A)$  and  $T(B)$ , the compositions  $z_{o(W)}(A)$  and  $z_{o(W)}(B)$ , the equilibrium partial pressures  $p'(A)$  and  $p_2(A)$ ,  $p'(B)$  and  $p_1(B)$  of oxygen and iron gas are necessarily different. Finally, a small difference in temperatures, probably a few degrees, are to be determined experimentally in order to adjust this set of parameters, which determines the phase diagram (Fig.II-3) close to the stable temperature of the  $\alpha$ - $\gamma$ Fe transition. In this situation, the bottom row in Table IV should be changed to a value of  $T(A)$  higher than 1184/1185 K, and the header line of Table V to a value of  $T(B)$  also higher than 1185 K, but lower than  $T(A)$  in ([28] p. 723 and 724).

Note: If  $T(A)$  and  $T(B)$  differ from a typical value of 4 °C (see 2.3.4. *Fe-O phase diagram close to 1185 K*), for example  $T(A) = 1191$  K and  $T(B) = 1187$  K, the partial pressures of iron are necessarily  $p(A) = p_1 = 3.692 \times 10^{-11}$  atm and  $p(B) = p_2 = 3.220 \times 10^{-11}$  atm following tabulated data [52], [53], [54]. Thus, the differences between the two iron partial pressures is on the order of  $0.4 \times 10^{-11}$  atm. The corresponding partial pressures of oxygen are  $p'(A) = 4.829 \times 10^{-17}$  atm and  $p'(B) = 4.229 \times 10^{-17}$  atm, *i.e.* a difference of  $0.6 \times 10^{-17}$  atm. The compositions  $z_o(A) = 0.0571$  and  $z_o(B) = 0.0468$  correspond to a gap of  $\sim 0.01$  in composition.

### 2.3.3. Phenomena observed close to the isotherm at 1185 K

Experimental confirmations of this hypothetical separation exist in the literature. Several of them are interesting to be examined. Löhberg and Stannek separate the pre-exponential term  $a$  and the energy term  $b = \Delta G/RT$  of an expression functionally similar to a Boltzmann distribution  $p_{H_2O}/p_{H_2} = a \cdot \exp(b \cdot z)$  expressed as a function of  $T^{-1}$  in two segments intersecting in the vicinity of the  $\alpha$ - $\gamma$ Fe transition temperature in ([55] Fig. 8 and 9 p. 250).

Similarly, Janowski, Mrowec, Stokłosa separate in two segments the variation of the self-diffusion coefficient  $D^*_{Fe}$  of iron in wüstite as a function of  $T^{-1}$  from their own results and several sets from the literature in ([56] Fig. 7 p. 99). The intersection occurs for  $T^{-1} = 8.28 \times 10^{-4} \text{ K}^{-1}$ , *i.e.*, at 935°C, of the segments attributed to W and W'.

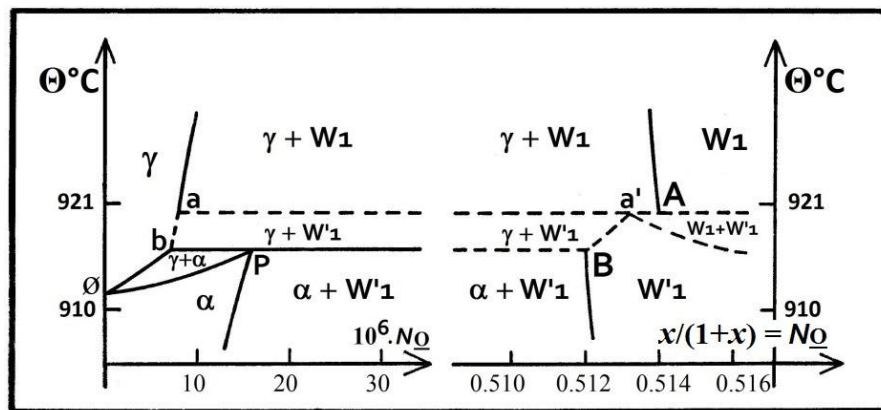
Jacobsson and Rosén, Guillermet and Per Gustafson, Sjöden, Seetharaman and Staffanson, Grønvold *et al.* publish new experimental thermodynamic data of highly improved accuracy about the molar thermodynamic properties of iron and wüstite [57] [58] [59] [60].

Sundman, in an assessment of the entire Fe-O system, formulate a model for the ‘pure iron corner’ (solid solutions  $\gamma\text{Fe-O}_\varepsilon$  and  $\alpha\text{Fe-O}_{\varepsilon'}$ ) [16]. The assessment of Spencer and Kubaschewski is also relevant in the same context [7].

A departure from a monotonous variation of the electromotive force of galvanic cells, near the  $\alpha\rightleftharpoons\gamma\text{Fe}$  transition, is evidenced from measurements at the iron/wüstite electrode, in several galvanic cells [57] [59]. In addition, authors [59] publish their numerous determinations of the electromotive force (e.m.f.) between 866.7 and 1339.3 K. An anharmonic transitional zone between two separate continuous variations, not in continuation of each other, can be identified in the temperature range [1186-1194 K].

#### 2.3.4. Consequences relevant to the Fe-O phase diagram

The  $\alpha\rightleftharpoons\gamma\text{Fe}$  first order transition in the ‘pure iron corner’ of the phase diagram Fe-O is not documented sufficiently. The left side in Fig. 3 displays literature data (relative to  $\text{FeO}_x$ ) concerning the domain of this transition [7] [16] [61]. The temperature of the strictly pure  $\alpha\text{Fe}\rightleftharpoons\gamma\text{Fe}$  transition is tabulated at  $1184 \pm 3$  K in the data base NIST-Janaf (1999-2011) [54]. At present, it is generally taken to be 1185 K (point  $\emptyset$  in Fig. 3).



**Fig. 3. Equilibrium diagram ( $\text{FeO}_x$ ) between  $W1$  and  $W'1$  and ‘pure iron’, with both limit points  $A$  and  $B$  of  $\gamma\text{-Fe}/W1$  and  $\alpha\text{-Fe}/W'1$  boundaries. Compositions  $N_{\underline{O}} = x/(1+x)$  are arbitrarily taken at 1184 K in paper [28]. Dashed lines correspond with hypothetical limits.**

The  $\alpha\rightleftharpoons\gamma\text{Fe}$  transitional enthalpy is known with a twenty percent dispersion from assessed and experimental data, (see [58] Table 3 p. 604). Very few experimental studies are available concerning the P(eritectoid) invariant point ( $\alpha\text{Fe-O}_{\varepsilon'}$ ,  $W'1$ ,  $\gamma\text{Fe-O}_\varepsilon$ ) close to the temperature of the pure  $\alpha\text{-Fe}\rightleftharpoons\gamma\text{-Fe}$  transition [61]. Sundman takes up the molar fractions ( $\cong \varepsilon$  at point  $P$ ,  $\varepsilon'$  at point  $b$  in Fig. 3) of dissolved oxygen  $N_{\underline{O}} = x/(1+x) = 16 \times 10^{-6}$  and  $6.8 \times 10^{-6}$  in  $\alpha$ - and  $\gamma$ -Fe respectively at 1185 K or 912 °C [16] (Fig. 3).

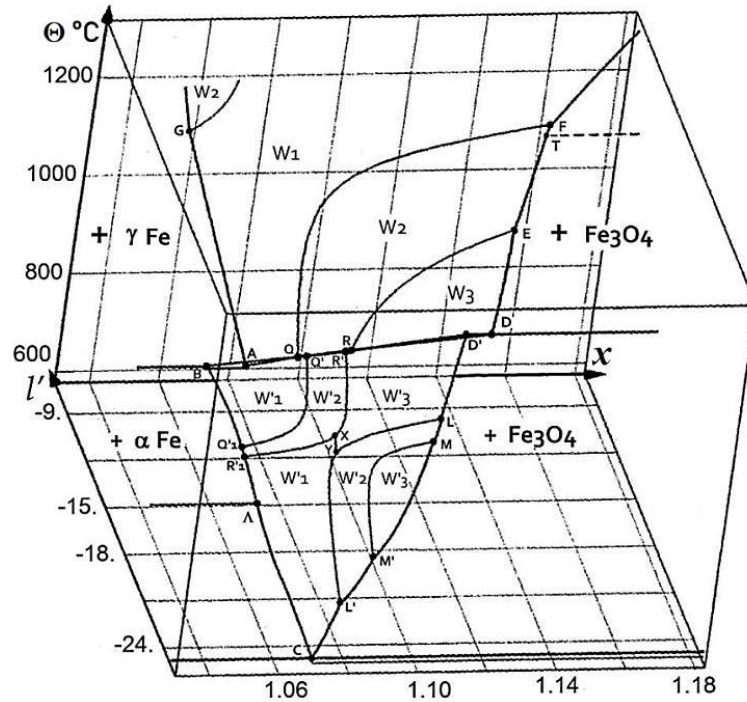
The separation of the boundary iron/wüstite into parts  $\gamma\text{Fe-O}_\varepsilon/W1$  from point  $A$  and  $\alpha\text{Fe-O}_{\varepsilon'}/W'1$  from point  $B$  arises distinctly in the temperature range characterized in [59], following the suggested phase diagram in the right part of Fig. 3. Two types of two-phase domains ( $\gamma + W'1$ ) and ( $W1 + W'1$ ) have been added hypothetically as well as an invariant point  $a'$  ( $W'1$ ,  $\gamma\text{Fe-O}_\varepsilon$ ,  $W1$ ). As of now, the location of points  $A$  and  $B$  remains to be determined precisely. New experimental data is necessary to confirm the preceding suggestions.



For the subdomain of  $W'$  below 912 °C, the information equivalent to that of  $W$  above 912 °C is more difficult to access (see [44] Figs. 6 and 7 p. 12 and 13). An empirical relationship associated with the three  $W'_j$  requires a curvature term. The analytical expression has been taken as follows:

$$l' = (a'_j T^{-1} + b'_j) x^2 + (c'_j T^{-1} + d'_j) x + (e'_j T^{-1} + f'_j) \quad (9)$$

The corresponding coefficients ( $a'_j - f'_j$ ) are available numerically in ([29] Table 1 p. 210).



**Fig. 4. Phase diagram  $\Theta$  °C ( $l'$ ,  $x$ ) of solid wüstite  $FeO_x$  under equilibrium following Raccach-Vallet-Carel; upper temperature 1250 °C, lower one 592 °C at point C; coordinate  $l'$  for  $\log_{10}p(O_2)$ . Points noted by a capital letter are tabulated in [27], except the point  $\Lambda$  in [28] and the point T in [62] [63] [64].**

The sets of numerical relations detailed above for  $l'$  as functions of  $x$  and  $T^{-1}$  allow the determination of the transition lines or boundaries between domains of the pseudo-phases  $W_1, W_2$  and  $W_3$ , from  $\gamma$ -iron to magnetite between 912 and around 1400 °C, and  $W'_1, W'_2$  and  $W'_3$ , from  $\alpha$ -iron to magnetite between 912 and 592 °C, at point C, as drawn in Fig. 4 (see precedent drawings [26] [27] [29]).

## 2.4. First evidences of phase modifications or pseudo-phases in the literature

### 2.4.1. Wagner jr's team

In the course of (thermodynamics - defect structure) approaches by Carl Wagner, **Wagner jr** *et al.* study the electrical conductivity  $\sigma$  at equilibrium as function of  $\log p_{O_2}$  [65]. With the help of their own thermogravimetric data  $l'(x)$  [66], they state that the composition of the break on their curves  $\sigma(x)$  well agree with that of the transitions  $W_i \rightleftharpoons W_{i+1}$  determined in Raccach's work. So, the pseudo-phases are identified at equilibrium outside of Vallet's group for the first time (see [65] Table IV p. 955; also Annex A Fig. A1 a-). The further studies of Wagner jr's group about wüstite were concerned with transport phenomena in the bulk and on the surface, in relation with the p to n transition.

### 2.4.2. Fender and Riley

From numerous accurate electromotive force measurements (e.m.f.) in galvanic cells, **Fender** and **Riley** [67] brought the second experimental confirmation, outside of Vallet's team, of the likely existence of

three pseudo-phases, and the location of the boundaries of the subdomains in the range 700-1350 °C. Their plot of the equilibrium diagram is in fairly good agreement with that of Vallet *et al.* (see Annex A, Fig. A2).

### 2.5. Partial molar enthalpies and entropies. Convergence point $\Omega$

Fender and Riley, then later Vallet and Carel assessed the partial molar properties of an oxygen solid solution ( $\Delta\bar{H}_O \equiv \bar{H}_O - \frac{1}{2} H^\circ_{O_2}$ ,  $\Delta\bar{S}_O \equiv \bar{S}_O - \frac{1}{2} S^\circ_{O_2}$ ) as functions of  $x \in [1.058 - 1.172]$  for the three pseudo-phases  $W_i$  ( $i=1,2,3$ ) named also  $wI$ ,  $wII$  and  $wIII$  (see [67] Table I p. 796, and *infra* in Figs. 5 and 6). The following relations were formulated in [28] for the three  $W_i$  ( $i=1,2,3$ ) at  $T > 1184$  K:

$$\Delta\bar{H}_O = 19.14475(a_i \cdot x + c_i), \quad (10h)$$

$$\Delta\bar{S}_O = -19.1447(b_i \cdot x + d_i) \quad (10s)$$

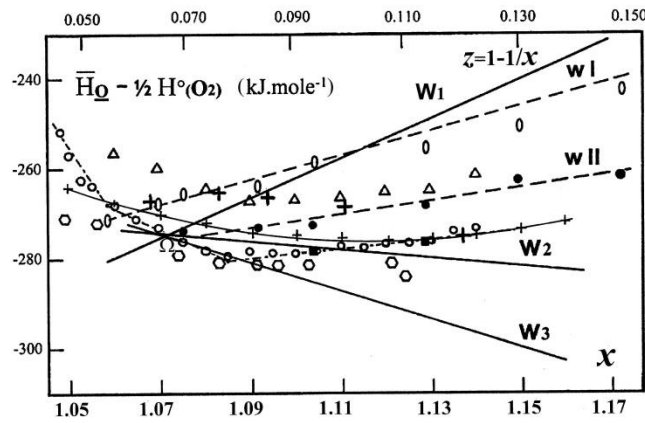


Fig. 5.  $\Delta\bar{H}_O$  from some authors. — : derived for the  $W_i$  ( $i=1-3$ ) *i.e.* at  $T \in [1193 - 1523$  K] from thermogravimetry +  $CO_2/CO$  [28];  $\diamond$ — $\diamond$ :  $wI$ ,  $\bullet$ — $\bullet$ :  $wII$ , and  $\blacksquare$ :  $wIII$  (two points only) by emf at  $T \in [1023-1623$  K] [67]; dash-dot line  $\circ$ — $\circ$ : by calorimetry at 1348 K under vacuum (+  $\delta n_{O_2}$ ) [68];  $\dagger$ : by emf at  $T \in [873-1273$  K] [69];  $\triangle$ : by thermogravimetry +  $CO_2/H_2$  at  $T \in [1373-1673$  K] [70];  $\circ$ : by emf at  $T \in [823-1323$  K] [71];  $\dagger$ : by emf data at  $T \in [873-1373$  K] [72] [13].

and, for the three  $W^j$  ( $j=1,2,3$ ) at  $T < 1184$  K:

$$(\Delta\bar{H}_O)^j = 19.14475(a^j_j \cdot x^2 + c^j_j \cdot x + e^j_j) \quad (11h)$$

$$(\Delta\bar{S}_O)^j = -19.14475(b^j_j \cdot x^2 + d^j_j \cdot x + f^j_j) \quad (11s)$$

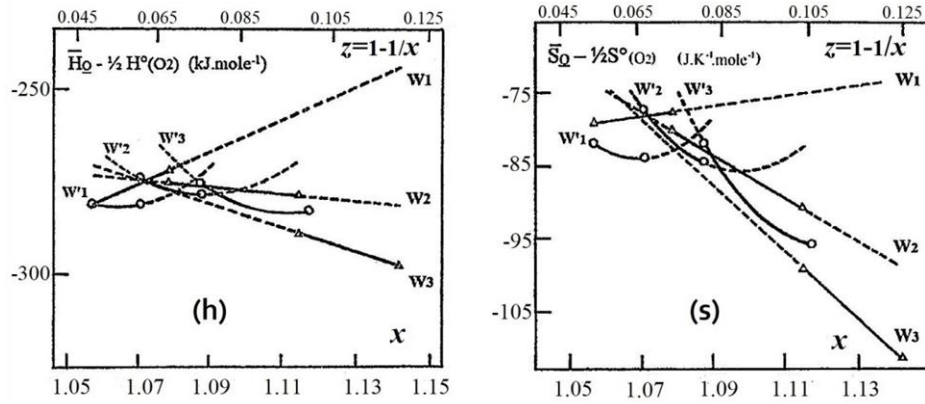
Relations (10) for the  $W_i$ , and (11) for the  $W^j$  are independent of  $T$ , in accordance with the property of regularity ([12] p. 81-85) of the solid solution wüstite identified in [41] but contested in [68].  $\Delta\bar{H}_O$  is represented in Fig. 5 from numerous available data sets. A convergence in the vicinity of the common intersection point  $\Omega$  at  $x = 1.0713 (\pm 0.001)$  of the straight lines  $\Delta\bar{H}_O(x)$  from (10h) is globally noticeable.

Contrary to this contrived amalgamation process, the numerical adjustments presented next are related principally to the initial results from Raccach [40] to [44] which form a well populated and coherent data set at equilibrium, and for which it exists now a systematic interpretation by Vallet's group [27] [28] [29], and experimental confirmations [65] [67] [73].

The graphical representation of the relations (10h) and (11h), (10s) and (11s), in the case of the isotherms at 1000 and 820 °C, is given in Fig. 6. Along the isotherm at 1000 °C, the three  $W_i$  are represented by successive linear segments. For the two transitions  $W_i \rightarrow W_{i+1}$  at decreasing iron content, a jump is observed toward the lower molar heat and entropy of solution which are exothermic and exo-entropic. For  $x_{12} = 1.0782$ :  $\delta(\Delta\bar{H}_O) = -3.5$  kJ,  $\delta(\Delta\bar{S}_O) = -2.3$  J.  $K^{-1}$ , and  $x_{23} = 1.1154$ :  $\delta(\Delta\bar{H}_O) = -10.5$  kJ,  $\delta(\Delta\bar{S}_O) = -8.3$  J.  $K^{-1}$ .

Above 1184 K, the exothermic  $\Delta\bar{H}_O$  for  $W_1$  increases with  $x$  and  $z$  corresponding to oxygen dissolution. For each  $\Omega$  a vacancy and zero, one or two electronic holes are created simultaneously as the

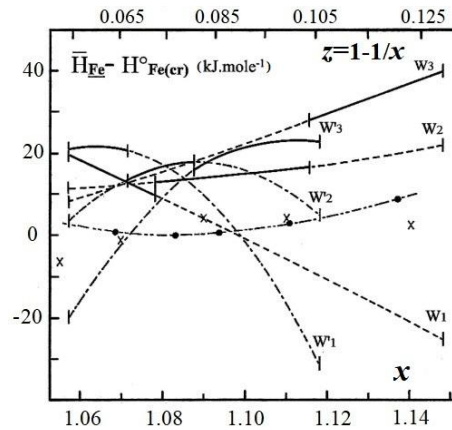
clustering is in progress (see equation (4a)). For W3,  $\Delta\bar{H}_O$  is decreasing with  $x$ , probably because of the formation of long-range ordering. For W2,  $\Delta\bar{H}_O$  varies in a manner intermediate between that for W1 and W3, which corresponds to the observation that W2 is a solid solution of W1 and W3 [67].



**Fig. 6. Partial molar enthalpy ( $\bar{H}_O - \frac{1}{2}H^0(O_2)$ ) and entropy ( $\bar{S}_O - \frac{1}{2}S^0(O_2)$ ) of solution of oxygen, through the stability field of wüstite, whatever the temperature. Transitional jumps are at 820 °C (W'i) and 1000 °C (Wi). Dashed lines are drawn in extrapolated or metastable zones.**

Along the isotherm at 820 °C, the three W'i are represented by parabolic curves. Jumps (weaker in amplitude than the ones at 1000 °C) are observed toward higher values for the molar exothermic transitions  $W^j \rightarrow W^{j+1}$ ,  $x_{1/2} = 1.071$ :  $\delta(\Delta\bar{H}_O)' = +10.9$  kJ,  $\delta(\Delta\bar{S}_O)' = +6.3$  J.K<sup>-1</sup> and  $x_{2/3} = 1.1154$ :  $\delta(\Delta\bar{H}_O)' = +2.9$  kJ,  $\delta(\Delta\bar{S}_O)' = +2.7$  J.K<sup>-1</sup>. A convergence of parabolas  $\Delta\bar{H}_O'(x)$  is observed for  $x = 1.0852$  or  $y = 1 - z = 0.9215$  ( $\pm 0.004$ ). This convergence occurs for a composition different ( $\delta y = 1.3 \cdot 10^{-2}$ ) from that of point C ( $y = 0.935$ ), and is not defined as precisely for W' as it is for W.

The Gibbs-Duhem relation leads to the iron solution property  $\Delta\bar{H}_{Fe}$  from  $\Delta\bar{H}_O$  (Fig. 7).



**Fig. 7. Variations of the partial molar enthalpy ( $\bar{H}_{Fe} - H^0_{Fe}$ ) vs  $x$  for the isotherms at 1000 °C (Wi) and 820 °C (W'j). For comparison: ●---●:  $\Delta\bar{H}_{Fe}$  from e.m.f. measurements at 1000 °C by Asao *et al.* ([69] Table 3 p. 75); ×: Darken and Gurry determinations ([31] Table X p. 1408).**

In the domain of W, exothermic transitional jumps are observed toward the higher values for the two transitions  $W_i \rightarrow W_{i+1}$  at equilibrium. At 1000 °C, the transition W1/W2 ( $x_{1/2} = 1.0782$ ) is characterized by  $\delta(\Delta\bar{H}_{Fe})_{1/2} = 3.25$  kJ.mol<sup>-1</sup>,  $\delta(\Delta\bar{S}_{Fe})_{1/2} = 2.56$  J.K<sup>-1</sup>.mol<sup>-1</sup>. The transition W2/W3 ( $x_{2/3} = 1.1164$ ) is characterized by  $\delta(\Delta\bar{H}_{Fe})_{2/3} = 12.06$  kJ.mol<sup>-1</sup>,  $\delta(\Delta\bar{S}_{Fe})_{2/3} = 9.47$  J.K<sup>-1</sup>.mol<sup>-1</sup>. In the domain of W', the equivalent jumps are exothermic as shown in Fig. 7 drawn for transitions at 820 °C.

As iron deficiency increases, the partial molar enthalpy of solution of iron  $\Delta\bar{H}_{\text{Fe}}$  decreases for W1 and increases for W3, variations that are opposite to that of  $\Delta\bar{H}_{\text{O}}$ . The five determinations of Asao *et al.* at 1000 °C are distributed on a curve noticeably parallel to the set of the successive segments concerning W1, W2 and W3 [69].

Equations  $x_{i/i+1}(T)$  of the lines -the so-called boundaries- separating the domain of stability of single pseudo-phases  $W_i$  and  $W_{i+1}$  were adjusted following the analytical equations:

$$x_{i/i+1} = [(c_{i+1} - c_i) + T.(d_{i+1} - d_i)] / [(a_i - a_{i+1}) + T.(b_i - b_{i+1})]$$

(see [26] p. 3680, equations (6)). Numerically, they are adjusted now to

$$x_{1/2} = (60412.0 - 41.3182T) / (56322.3 - 38.5509T) \quad (12)$$

$$x_{2/3} = (25297.2 - 18.310T) / (23670.0 - 17.1941T) \quad (13)$$

A metastable boundary can also be envisaged

$$x_{1/3} = (85709.2 - 59.6282T) / (79992.3 - 55.7450T) \quad (14)$$

The authors in [43] remarked that the corresponding terms in the numerator and the denominator [of equations (12), (13) and (14)] are almost exactly proportional in a same ratio of 1.07, *i.e.*,  $(c_{i+1} - c_i) / (a_i - a_{i+1}) = (d_{i+1} - d_i) / (b_i - b_{i+1}) \cong 1.07$ , also close to Chaudron's point composition. It results that the three pseudo-phases are under equilibrium at T (K) under the same oxygen pressure for a composition  $x \cong 1.07$  (*e.g.*,  $p' \cong 8 \times 10^{-17}$ ,  $4 \times 10^{-15}$  or  $8 \times 10^{-11}$  atm at 1184, 1273, or 1573 K respectively), irrespective of index i. This result constitutes the reason why the property  $\Delta\bar{H}_{\text{O}}$  ( $= -274.8 \text{ kJ.mol}^{-1}$ ) of equation (9h) is shared by the three  $W_i$ 's with the same composition  $x \cong 1.07$  under the same equilibrium oxygen potential. The convergence at point  $\Omega$  results of that fact. The iron partial molar properties converge also for  $\Delta\bar{H}_{\text{Fe}} = +87.8 \text{ kJ.mol}^{-1}$  at 1000 °C. At invariant point C ( $\alpha\text{-Fe}$ ,  $W^1$ ,  $\text{Fe}_3\text{O}_4$ ) of abscissa  $x_C = 1.070_0$ , these properties are merged ( $\Delta\bar{H}_{\text{O}} = -290.4 \text{ kJ.mol}^{-1}$  and  $\Delta\bar{H}_{\text{Fe}} = +21.4 \text{ kJ.mol}^{-1}$ ).

It is noticeable that a common « kinetic origin »  $\omega$  is characterized by  $x = 1.070 \pm 0.001$  in  $W'$  (see Annex 2 « Re-analysis of kinetic data at 850 °C », derived from the experimental results [73]).

## 2.6. The triple point (W1, W2, W3) out of equilibrium

The point 8 (W1, W2, W3) was determined as the intersection of the extrapolated boundaries W1/W2 and W2/W3 at temperature 302 °C and composition  $y = 0.9322$ , directly below point  $\Omega$  and point C ( $y_C \cong 0.935_0$ ) (see [26] Table III and Fig. p. 3681).

Manenc *et al.* observed a disproportionation near 300 °C, in a miscibility gap, by inducing a slow drop in temperature [74-75]. Fender and Riley remarked that wII ( $\equiv W2$ ) behaves [ $\partial(\Delta\bar{G}_{\text{O}} \text{ vs } 1-x)/\partial T$ ] in its specific region as a two-phase system above its critical temperature. Similarly to Vallet and Raccach [26], they remarked that the extrapolation into the metastable domain below point C shows the phase separation into wI ( $\equiv W1$ ) and wIII ( $\equiv W3$ ) in the vicinity of 315 °C » [67].

As early as 1977, Andersson and Sletnes studied conjointly the ordering and the preceding behavior below 300 °C, in reference with the spinodal decomposition using electron diffraction and microscopy (dark field images) [76] [77]. Typical patterns were attributed to the phase  $P'$  (periodicity of  $2.6a_0$ ), in the composition interval  $y$  (of  $\text{Fe}_y\text{O}$ )  $\in [0.95-0.92]$ . After heat treatment for 20 min at 300 °C, a tetragonal or orthorhombic symmetry was observed which can be compared to ordered  $P'$ -type regions. For compositions less than  $y = 0.91$  in  $\text{Fe}_{0.91}\text{O}$  ( $z \geq 0.09$ ), the more ordered structure identified as  $P''$  was observed even after quenching. Its parameters are  $a = b = c = 5a_0$ .

These different observations indicate that W2 can be represented as a solid solution of W1 and W3, consistent with the determinations of  $[\Delta\bar{H}_{\text{Fe}}(x)]_i^e$  as determined below.

## 2.7. Trends to ordering at increasing z

The difference  $[\Delta\bar{H}_{\text{Fe}}(x)]_i^e$  between the partial molar enthalpy of iron in  $(\text{FeO}_x)_i$  and  $\text{FeO}_{x_{o(i)}}$  on the boundary with Fe was envisaged as an excess type property in order to tentatively establish links between thermodynamic and defect structure properties [45]. The corresponding relations, using the previous formalism established for  $[\bar{H}_{\text{O}}(x)]_i$ ,  $[\bar{H}_{\text{Fe}}(x)]_i$ ,  $[\bar{S}_{\text{O}}(x)]_i$  and  $[\bar{S}_{\text{Fe}}(x)]_i$  leading to Figs. II-6 and II-7 above, are simply:

$$[\Delta\bar{H}_{\text{O}}(x)]_i^e = [\bar{H}_{\text{O}}(x) - \bar{H}_{\text{O}}(x_{o(i)})]_i = 19.14475(a_i/2(x - x_{o(i)})) \quad (15h)$$

$$[\Delta\bar{S}_{\text{O}}(x)]_i^e = [\bar{S}_{\text{O}}(x) - \bar{S}_{\text{O}}(x_{o(i)})]_i = -19.14475(b_i/2(x - x_{o(i)})) \quad (15s)$$

$$[\Delta\bar{H}_{\text{Fe}}(x)]_i^e = [\bar{H}_{\text{Fe}}(x) - \bar{H}_{\text{Fe}}(x_{o(i)})]_i = -19.14475(a_i/4(x^2 - x_{o(i)}^2)) \quad (16h)$$

$$[\Delta\bar{S}_{\text{Fe}}(x)]_i^e = [\bar{S}_{\text{Fe}}(x) - \bar{S}_{\text{Fe}}(x_{o(i)})]_i = 19.14475(b_i/4(x^2 - x_{o(i)}^2)) \quad (16s)$$

Substituting the coefficients  $a_i$ ,  $b_i$  and  $x_{o(i)}$  on the  $\gamma\text{-Fe}/\text{W}_{o(i)}$  boundary by their numerical values yields Table 1. The sign of the term  $[\Delta\bar{H}_{\text{Fe}}(y)]_i^e$  as well as the sign of its derivative  $\partial/\partial x$  is the sign of  $-a_i$ . This term corresponds to the energetic effect  $\delta U$  on the iron lattice for the interaction between each additional  $\text{O}$  and a mole of  $\text{FeO}_x$  into which it is dissolved (at constant iron content), a vacancy and zero, one or two electronic hole(s) being created (see *supra* relations 4a-4c).

**Table 1 - Variations at 1273 K of excess properties  $[\Delta\bar{H}_{\text{O}}]_i^e$  and  $[\Delta\bar{S}_{\text{O}}]_i^e$ .**

| $\text{FeO}_x/\text{Fe}_{1-z}\text{O}$   | $x=1.0950$<br>or $z=0.0868$  | $x=1.1420$<br>or $z=0.1243$  |
|--|--|--|
| W1: $a_1=46753.4$<br>$b_1=-7.3781$<br>$x_{o(1)}=1.0572^*$<br>$z_{o(1)}=0.0541$ | $[\Delta\bar{H}_{\text{O}}]_1^e$<br>16.90<br>$[\Delta\bar{S}_{\text{O}}]_1^e$<br>2.67<br>$[\Delta\bar{H}_{\text{Fe}}]_1^{e**}$<br>-18.19<br>$[\Delta\bar{S}_{\text{Fe}}]_1^{e**}$<br>-2.87 | $[\Delta\bar{H}_{\text{O}}]_1^e$<br>37.94<br>$[\Delta\bar{S}_{\text{O}}]_1^e$<br>5.99<br>$[\Delta\bar{H}_{\text{Fe}}]_1^e$<br>-41.72<br>$[\Delta\bar{S}_{\text{Fe}}]_1^e$<br>-6.58   |
| W2: $a_2=-9568.9$<br>$b_2=31.1728$<br>$x_{o(2)}=1.0522$<br>$z_{o(2)}=0.0496$   | $[\Delta\bar{H}_{\text{O}}]_2^e$<br>-3.92<br>$[\Delta\bar{S}_{\text{O}}]_2^e$<br>-12.78<br>$[\Delta\bar{H}_{\text{Fe}}]_2^e$<br>4.21<br>$[\Delta\bar{S}_{\text{Fe}}]_2^e$<br>13.76         | $[\Delta\bar{H}_{\text{O}}]_2^e$<br>-8.23<br>$[\Delta\bar{S}_{\text{O}}]_2^e$<br>-26.80<br>$[\Delta\bar{H}_{\text{Fe}}]_2^e$<br>9.03<br>$[\Delta\bar{S}_{\text{Fe}}]_2^e$<br>29.41   |
| W3: $a_3=-33238.9$<br>$b_3=48.3669$<br>$x_{o(3)}=1.0482$<br>$z_{o(3)}=0.0460$  | $[\Delta\bar{H}_{\text{O}}]_3^e$<br>-14.90<br>$[\Delta\bar{S}_{\text{O}}]_3^e$<br>-21.68<br>$[\Delta\bar{H}_{\text{Fe}}]_3^e$<br>15.97<br>$[\Delta\bar{S}_{\text{Fe}}]_3^e$<br>23.24       | $[\Delta\bar{H}_{\text{O}}]_3^e$<br>-29.85<br>$[\Delta\bar{S}_{\text{O}}]_3^e$<br>-43.44<br>$[\Delta\bar{H}_{\text{Fe}}]_3^e$<br>32.69<br>$[\Delta\bar{S}_{\text{Fe}}]_3^e$<br>47.57 |

\* For  $x=x_{o(i)}$ , all terms are equal to zero. \*\* The terms  $[\Delta\bar{H}_{\text{O}}]_i^e$  and  $[\Delta\bar{H}_{\text{Fe}}]_i^e$  are expressed in  $\text{kJ}\cdot\text{mol}^{-1}$ , and the terms  $[\Delta\bar{S}_{\text{O}}]_i^e$  and  $[\Delta\bar{S}_{\text{Fe}}]_i^e$  in  $\text{J}\cdot\text{K}^{-1}\cdot\text{mol}^{-1}$ .

The values of  $[\Delta\bar{H}_{\text{Fe}}]_i^e$  in Table 1 show that:

1) for W1, the internal energy  $U_m$  of the iron lattice (the term  $P\Delta V_m$  is negligible compared to the contribution  $\Delta U_m$  in  $\Delta H_m$ ) decreases as  $z$  increases, which corresponds to the exothermic formation energy of clusters (m:n) that are thus favored (see Gokcen [5] p. 241-44, and [12] p. 42-44, and Desré and Hodaj [21] p. 91-96).

2) conversely, W3 is characterized by an increase in the lattice energy, which corresponds to the completion of the cluster percolation and the formation of the superstructure (2.55a x 2.55a x 2.55a) named P'' by Manenc and characterized using HREM by Iijima [75] [78] [79].

3) likely because W2 is more than likely a solid solution of W1 and W3, the variation of  $U_m$  is intermediate.

Such trends were discussed previously by Fender and Riley when considering  $\Delta\bar{G}_O$  and  $\Delta\bar{H}_O$  in ([67] p. 797).

The positive and negative values of the term  $[\Delta\bar{S}_O]_i^e$  (see Table I) correspond mainly to a vibrational entropy change in the oxygen sublattice [20] [70] [80]. In the case of the initially disordered pseudo-phase W1, the excess entropy term weakly increasing with  $z$  shows that the disorder due to the formation of point defects is predominant. Reversely, in the case of the pseudo-phase W3, increasing short- and long-range orderings would be associated with increasing  $z$  values as indicated by a strong decrease of  $[\Delta\bar{S}_{Fe}(x)]_3^e$ . These entropy variations are related to the increasing frequency of the Fe-O bond because of larger clusters and/or an increasing degree in their percolation until completion and superstructure. The pseudo-phase W2 can be thought to appear at the starting threshold of the percolation of clusters, when the lattice becomes more rigid.

### 3. Several approaches of investigation

#### 3.1. Burgmann's review

In 1968, this author gathers some experimental results (electrical conductivity and transition p-to-n). Because of numerous analogies with pyrrhotine  $Fe_{1-z}S$  [81], he describes tentatively the defect structure in terms of clusters (see [4] Fig. 5 p. 173). He proposes a conduction model involving the formation of an acceptor band or of new states produced by the interaction of defects as  $z$  increases. The lattice contraction at increasing  $z$  reduces the gap between the valence and conduction bands, inducing a p-to-n transition for compositions  $z = 1/12 = 0.083$  at 900 °C and  $z = 1/13 = 0.076$  at 1300 °C ([4], Hillegas [82]). Such an overlap is also considered later by Molenda, Stoklosa and Znamirowski [83].

#### 3.2. Manenc's phases P, P', P''

As the most important result of a seminal work, **Manenc** describes three types of « phases » named P, P' and P''. He characterizes them after (rapid) quenching into water using X-ray diffraction. For an iron rich wüstite ( $z < 0.08$ ), vacancies and ions  $Fe^{3+}$  seem to be randomly distributed. This corresponds to the phase P which has a weakly incomplete NaCl-type structure alone. Other diffraction patterns of more iron deficient samples ( $0.08 < z < 0.11$ ) have additional reflections corresponding to a cubic cell with a repeating distance 2.6a<sub>o</sub>. Diffuse trails parallel to <100> directions connect these reflections. These features are characteristic of the phase P' alloyed with the phase P, and individually observed only in « oxygen rich » samples. Iron vacancies are ordered in the two phases [75, 78].

Diffraction for a heated single crystal such as  $z = 0.08$  shows that a superstructure exists in the range 800-1000 °C for this composition. The phase P'' is observed in wüstite such as  $z \cong 0.10$  near 300 °C and when slowly cooled, at the threshold of disproportionation yielding Fe and Fe<sub>3</sub>O<sub>4</sub>. New superstructure lines are then characteristic of a commensurate 5X cubic cell [75, 78].

#### 3.3. Mössbauer spectroscopy

**Greenwood** and **Howe** [84] interpret Mössbauer spectra in modeling the asymmetrical doublet as evidencing the differing defect structures of the pseudo-phases identified by Vallet and Raccach [44], and Fender and Riley [67] at high temperature. The quenching process produces nuclei leading to Manenc phases P, P', [(P+P') for  $z \in [0.05-0.08]$ , (P') for  $z \in [0.08-0.10]$ , and (P'') for  $z > 0.10$ ] below point C. The phases are detectable from fine variations of quadrupole splitting resulting from cubic symmetry distortions. These distortions can be evaluated for the single cluster (4:1), and the Koch and Cohen cluster (13:4). From a sample

such as  $z \cong 0.05$ , the disproportionation gives rise to  $\text{Fe}_{0.918}\text{O}$  (defect rich phase P') and  $\text{Fe}_{0.976}\text{O}$  (defect poor phase P), because of a mixture of the (13:4) and (4:1) clusters.

Among numerous other Mössbauer studies reviewed by Long and Grandjean [15], Romanov, Checherskaya and Tatsienko [85] [86], Hryniewicz *et al.* [87], **Pattek-Janczyk** *et al.* [88], this latter is of particular interest. The authors modeled the asymmetric doublet with a singlet attributed to  $\text{Fe}^{3+}$  in tetrahedral sites, and two doublets attributed to octahedral  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$ . They associated the maximum observed in the variation of the quadrupole splitting to the p-n transition described by [89]. This transition is likely the same as the transition « metal  $\leftrightarrow$  Mott insulator » identified by Molenda, Stokłosa and Znamirowski [83].

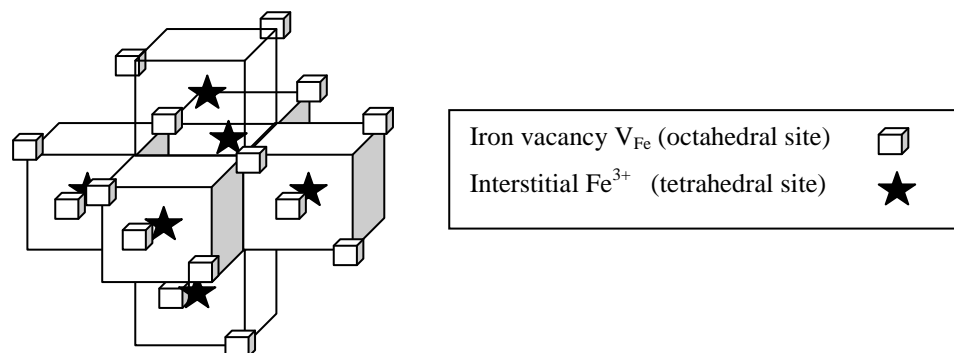
**Aldon** and **Jumas** use the Mössbauer spectroscopy to evaluate precisely the reversible insertion of lithium and the effects on the local and long-range orderings of the wüstite lattice. They characterize the lithium as « an actor of electronic pressure » (see *infra* [110] in section 4.3. *Nanocomposites. Epsilon-Fe*).

### 3.4. First electronic-structural modeling

**Goodenough's modeling** [1] is proposed as early as 1971. Considering the relations (2) associated with thermogravimetric analyses, and relation  $\sigma \propto p^{1/5}$  (17) corresponding to the conductivity [65], the result  $s=6$  in the W1 subdomain above 1060 °C characterizes a statistical distribution of vacancies and electronic holes ( $\text{Fe}^{3+}$ ), possibly corresponding to Manenc's phase P. Below 1060 °C, the changes in values of  $s$  correspond to an increasing association between vacancies and holes. In the W2 domain, triplets  $\text{Fe}^{3+} - \text{V}_{\text{Fe}} - \text{Fe}^{3+}$  are partially ordered, improving Madelung energy. Numerous (4:1) clusters which form a superstructure according to Koch & Cohen (see *infra* section 7.2. *The historical cluster (13:4)* and [130]) correspond to W3. A mapping of the transfers of iron ions, and electronic exchanges is proposed as a mechanism, needing small polarons related to energy differences between the top of the valence band and an acceptor level [1]. Later, this author with Gleitzer will consider the wüstite again from the point of view of its electronic properties and crystallographic structure. They will express some doubt concerning the role of the cluster (4:1) as only building unit of larger clusters (see [11] p. 51-4).

### 3.5. Zvintchuk's team

In 1973, **Tchiong Tki Khong** in **Zvintchuk's** team, in collaboration with J. Manenc, studies samples of wüstite of composition  $z \in [0.065-0.108]$  quenched from 1100 °C [90]. Electron diffraction reveals three forms I, II and III corresponding to the modifications wI, wII and wIII of the phase diagram by Fender and Riley [67], and to the phases P, P', P''. A correspondence with the three  $W_i$  is specified. The authors describe a defect complex (16:6) of point symmetry  $m\bar{3}m$ , formed of six tetrahedral corner sharing clusters (4:1) distributed on the six faces of an empty cube. This cluster is represented on Fig.8.

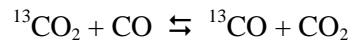


**Fig. 8.** The  $m\bar{3}m$  cluster (16:6) of  $\langle 110 \rangle$  type corresponding to  $(z+t)/t = 2.66$  (from ref. [90]).

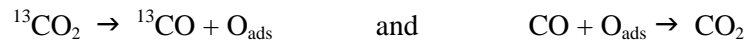
A later work by Shaïovitch, Zvintchuk and Vergazov describes a superstructure with a quadratic cell  $\bar{P}4\ 3m$  for a wüstite  $\text{Fe}_{1-0.10}\text{O}$  [91].

### 3.6. Worrall and Coley. Isotopic kinetic transitions

More than 40 years after Raccah, Vallet *et al.* [26, 41-44, 48-49], Wagner jr *et al.* [65] [66], and Fender and Riley [67], Worrall and Coley evidence kinetic transitions related evidently to the pseudo-phases [73]. Following the process first used by Temkin *et al.* at the surface of iron oxides [92-93], and then applied by Grabke [94], Cramb and Belton [95], Mori, Morita and Sano [96], later by Zhang *et al.* [97] [98], Worrall and Coley study the kinetics of the carbon exchange in the equilibrium  $\text{W}/(\text{CO}_2/\text{CO}$  mixtures) in which a controlled proportion of  $^{13}\text{CO}_2$  species was introduced. In such an environment, a specific equilibrium of the carbon isotopes is established following:



which can be viewed as the sum of the two half-reactions:



as reflecting oxygen exchange on the surface of the sample and being conditioned by the electronic structure in the bulk. The apparent rate constant is expressed as a function of oxygen activity  $\mathbf{a}_{\text{O}}$  as follows:

$$k_a = k_0 \cdot \mathbf{a}_{\text{O}}^{-m} \quad \text{or} \quad \log k_a = -m \cdot \log \mathbf{a}_{\text{O}} + \log k_0 \quad (18)$$

The variation of  $\log k_a$  with the activity  $\mathbf{a}_{\text{O}}$  defined as being the ratio  $p(\text{CO}_2)/p(\text{CO})$ , can be described by three successive linear segments at 995 °C, with  $m = 0.51$  (w1), 0.66 (w2), 1.03 (w3) in ([73] Fig. 8 and equations [36] [37] [38] p. 820-1).

The authors observe only one transition attributed to two pseudo phases w'1-2 and w'3 along the isotherm at 850 °C, no change being observed in the vicinity of the forecasted boundary w'1/w'2 by Vallet and Carel [27]. The only observed transition appears as a discontinuity at the location of the transition  $\text{W}'2 \leftrightarrow \text{W}'3$  forecasted for  $x = 1.090$  at equilibrium. Nevertheless, the authors state that three pseudo-phases w'1, w'2 and w'3 probably exist, but that two of them could not be separated, either because they were not detectable by electrical conductivity measurements as found before in [65], or because they were possibly « obscured by the scatter ». Finally, Worrall and Coley declared that, in their study, transitions at 850 °C and 995 °C were observed « correspond[ing] exactly with the proposed pseudo-phase boundaries in quite good agreement with the phase diagram by Vallet and Carel». Because of the identity of coefficients  $m$  in the correlations concerning  $\text{W}_i$  and  $\text{W}'_j$  for  $i=j$ , they attributed in a following review [22] three predominant clusters (7:2) type II to w1 and w'1, (12:4) type II to w2 and w'2 and (16:5) type I to w3 and w'3.

Note: In Annex B and Fig. B2, a graphical and numerical re-analysis of Fig. 9 by ([73] p. 821) shows a possible separation of the data in three sets at 850 °C, which correspond likely to the three  $\text{W}'_j$ , provided that some data or groups of data are located in subdomains not proposed as stable. In Annex A, Fig.A1, the location of the observed transitions is represented by the set f-).

### 3.7. Takayama and Kimizuka's phase modifications

Using thermogravimetric analyses under equilibrium oxygen pressure  $p'$  defined by  $\text{CO}_2/\text{H}_2$  mixtures, Takayama and Kimizuka observe significant modifications in curves representing  $l'$  vs  $\log x$  in  $\text{FeO}_x$  [70]. The authors conclude to the existence of a transition between pseudo-phases (see [70] Table I and Annex A Fig. A1 d-). Typical changes in slopes are clearly observed from their data.



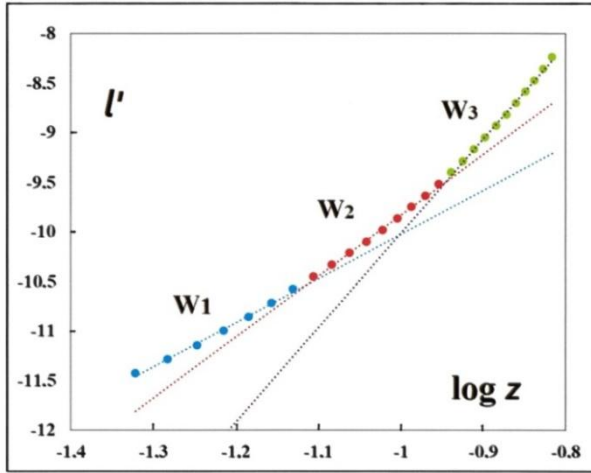


Fig. 9. Variation of  $l'$  vs  $\log z$  for  $\text{Fe}_{1-z}\text{O}$  at 1250 °C. Three sets of data can be defined as three quasi-linear relations.

To illustrate their results, we have transformed their representations for one temperature  $T=1523$  K. For this temperature, their data are interpreted in terms of two relations only:

- For low  $x$  values ( $x = 1/(1-z)$ ):

$$l' = M_1 \cdot x + B_1 = 28.512 x - 41.366 \quad (19)$$

- For high  $x$  values:

$$l' = M_2 \cdot x + B_2 = 23.288 x - 35.715 \quad (20)$$

The new representations for  $l'$  vs  $\log z$  are done in Fig. 9. Two coefficients  $s$  can be defined as a first step, using the hypothesis of a transition implying two pseudo-phases proposed by the authors:  $s$  close to 4 and  $s$  close to 7.5. However, three correlations can be also defined as follows:

$$l' = 4.4539 \log z - 5.5685, \quad l' = 6.1378 \log z - 3.6876, \quad l' = 9.5059 \log z - 0.5046 \quad (21)$$

from which three values for  $s$  can be derived. A first domain for low  $z$  values yields a coefficient  $s = 4.5$ . So, it is possible to interpret the non-linear second domain in terms of two linear sequences with two coefficients  $s = 6.1$  and  $s = 9.5$ : these two last coefficients are quite close to those obtained from the data of Vallet *et al.*

The results of Takayama and Kimizuka [70] and those of Bransky and Hed [99] show a relative agreement in the same domain of temperature (see also Annex A Fig. A1 b-), but disagree with results of Fender, and those of Vallet because these authors did not observe any breaking point on the isothermal lines above 911 °C (see *infra* section 10. Percolation approach, Nota: Metastabilities).

#### 4. Electrical properties. Transitions. Percolation

In an extensive review about electrical properties, **Gleitner** points out that the modeling of the electrical conductivity of wüstite is relevant of the percolation concept [19]. The superimposition of the two kinds of p-n and  $W_i \rightleftharpoons W_{i+1}$  transitions, almost independent of each other, and both function of  $z$ , is already referenced in the Burgmann's review [4].

Because of this common stress, it is not easy to correlate the p-n transition to the structure. Since the percolation process is characterized experimentally by a steep variation of the studied property at a threshold composition, the curves for the electrical conductivity vs composition can be interpreted in terms of upgradable clusters and long-range ordering in the subdomains of W1, W2 and W3 [65] [100] [101].

Later in the present review (see Section 10 Percolation approach, Fig. 20), a statistical distribution of clusters with two types of percolation modes is schematically represented through the phase diagram. This cluster distribution can justify structurally the singularities of the integral and partial thermodynamic

properties (see above Figs. 1, 2, 5, 6, 7). Also, it can justify the distinct rate constants observed in kinetic processes (see section 3.6. *Kinetics transitions*, and Annex B: Figs. B-1 and B-2).

#### 4.1. The p to n transition

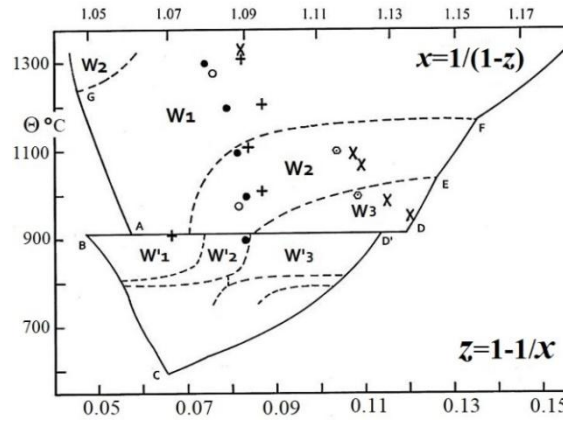
**Tannhauser** observe a thermo-electronic p to n transition around 1300 °C by thermal e.m.f. analyses of a highly nonstoichiometric wüstite [89]. More precisely with Bransky [102], a change in sign of the Seebeck coefficient is observed for polycrystalline samples near O/Fe  $\sim$  1.09 or  $z \sim$  0.083 at  $T \in [1010-1310 \text{ °C}]$  and near  $z \sim$  0.066 at 910 °C (Fig.10). The authors formalize the Seebeck coefficient  $\alpha$ , in the case of completely ionized vacancies, when the hopping process is predominant:

$$\alpha = (k/e) \cdot (A/kT + \ln(c_0 - c)/c) \quad (22)$$

where  $c$  = ‘concentration’ of charge carriers,  $c_0$  = ‘concentration’ of available sites for the charge carriers (see also [103]).

The same formalism is used by **Lafollet** and **Duquesnoy** in the case of non-localized when the wüstite is considered as an intrinsic semiconductor, *i.e.*, doped by its own impurities [104].

They modeled  $\alpha = 0$  for  $z = 0.104$  at 1100 °C, and  $z = 0.108$  at 1000 °C (see [104] in Fig. 10).



**Fig. 10:** Some determinations of the p-n transition by thermal e.m.f.. X: Geiger *et al.* [66] not corrected for platinum ; +: Bransky and Tannhauser [102]; ⊙ : Lafollet and Duquesnoy [104] by modeling; ○: Hillegas jr and Wagner jr [105]; ● : Gartstein and Mason [106] by re-examination of Hillegas jr’s thesis [82].

Like in previous studies, **Hodge** and **Bowen** state that the experimental sign change of the thermal electromotive force, from positive to negative, occurs at increasing values of  $z$  [107]. Their model considers principally clusters (4:1) and electron holes trapped in octahedral sites adjacent to cluster vacancies. The thermally activated hopping of these holes permits continuous paths through the crystal because of the high point defect concentration between the zones separating the clusters.

In their studies on electrical conductivity, **Ariya** and **Bratch**, and **Kozheurov** and **Mikhailov** observe modifications to the relationship  $\sigma \propto (p')^{1/n}$ , closely related to the relationship  $z \propto (p')^{1/n}$ , where their coefficient  $n$  ( $\equiv$   $s$ ) varied in such a way that three subdomains can be defined [100] [101]. They find an increase of the conductivity in two composition ranges, from  $z \cong 0.048$  to 0.079 then from 0.079 to 0.097, in relation with the Fe/W and W/Fe<sub>3</sub>O<sub>4</sub> boundaries.

#### 4.2. Electrical conductivity

**Neuschütz** and **Towidi** establish a variation of the conductivity for  $T \in [700-1220 \text{ °C}]$  from which they inferred that the defect electrons jumped between Fe<sup>2+</sup> and Fe<sup>3+</sup>, the activation energy of the process being 9.6 kJ.mol<sup>-1</sup> [108].

Following **Molenda, Stoklosa** and **Znamirowski**, the conductivity can be the sum of an « extrinsic conductivity  $\sigma_y$  » related to the nonstoichiometry and of an « intrinsic component  $\sigma_o$  » independent of the defect concentration, *i.e.*, of  $p'$  [83]. Charge transport at high temperature would occur simultaneously in the valence and conduction bands, and the dopant acceptor band due to  $\text{Fe}^{3+}$  in octahedral and tetrahedral sites. Near the composition  $z \approx 0.08$ , the acceptor band would overlap the valence band giving rise to a broad metallic band close to the thermoelectric transition p to n for  $z \approx 0.09$  and corresponding to the stability limits of W1 and W2-3. Experimental details are given in ref. [83] (Fig. 2 p. 519 and Fig. 4, see also Annex A, Fig. A1 e-), where successive segments and breaks corresponding to the Raccach-Vallet's isotherms have been observed.

More recently, **Toroker** and **Carter** examined the possible improving of the conductivity of  $\text{Fe}_{1-z}\text{O}$  by doping the p- or n- conduction mode, with the aim of stabilizing the nanoscale fabrication of wüstite, and then adapting the nanoparticles to create efficient solar light conversion materials [109].

They listed the procedures allowing the calculation of Marcus theory parameters for cluster models with hydrogen dopant and substitutional  $\text{Cu}^+$ ,  $\text{Li}^+$  and  $\text{Na}^+$ . They concluded that « iron vacancies drastically limit the hole conductivity » while Li, H and Na dopants improve it. So, it would be interesting to suppress the formation of vacancies by « alloying FeO », which would amplify the p-type conductivity.

Note: An extensive thermodynamic study of multiple substituted wüstites can be found in the work of Lykasov *et al.* which is reviewed partly in [13] and [111]. Structural aspects of calcio- and magnesio-wüstites are available *infra* (see Table II, and in Fig. 15)).

#### 4.3. Nanocomposites. Epsilon-Fe

**Aldon** and **Jumas** examine the electrochemical redox phenomena at the negative electrode  $\text{Fe}/\text{Fe}_{1-z_0}\text{O}$  formed by wüstite of limit-composition  $z_0 (= 0.050 \pm 0.013)$  in Li-ion batteries [110]. The initial samples of hematite powder, reduced in  $\text{H}_2$  at 800 °C, are quenched outside the furnace. The analyses by Mössbauer spectroscopy and X-ray diffraction, after the first discharge, yield the nature and concentration of the products of reduction of wüstite by Li. Nanoparticles of iron (~2nm) embedded in a  $\text{Li}_2\text{O}$  matrix are identified. A part of the iron atoms appearing in the disproportionation is made of the  $\epsilon$ -Fe phase which is stressed in the matrix under a pressure evaluated at 6.7 GPa, and which is not ferromagnetic. It can be due to the extrusion from the W structure. At the same time, small clusters of lacunary hematite are formed. These phenomena have been still identified in the formation of « planetary materials, in the Earth's inner core ». See also geological observations by Hazen and Jeanloz [10].

## 5. Other kinetic and diffusional works

### 5.1. Iron self-diffusion

In 1963, **Desmarescaux** and **Lacombe** study self-diffusion in using isotopes  $^{55}\text{Fe}$  and  $^{59}\text{Fe}$  [112]. They state experimentally (Fig. 11) that the self-diffusion coefficient  $D_{\text{Fe}}^*$  increases with  $z$  at a given temperature  $T$ . At increasing  $T$ , because the clusters are dissociated, the rate of free vacancies is increased, the self-diffusion coefficient too. They deduce that self-diffusion proceeded through a vacancy driven mechanism, especially noticeable at high temperature. At lower temperatures, fewer free vacancies and more complex vacancy-electron holes exist. Diffusion is then slower. The number of vacancies associated to  $\text{Fe}^{3+}$  cations [the clusters] increases with  $z$ , so a compensation with the free vacancies implies a quasi-constant  $D_{\text{Fe}}^*$  whatever  $z$  below 850 °C. These observations are interpreted in the Collongues's review [18] with the help of theoretical evaluations of the binding energies in clusters (see Sections 6.5. *Cluster stability*, and 6.6. *Diffusion and stability*).

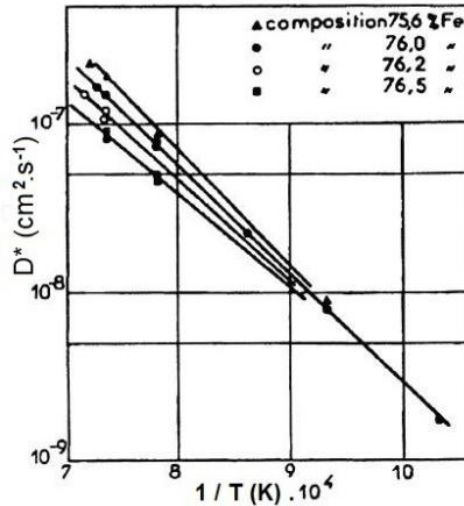


Fig. 11: Fe diffusion (from ref. [112], Fig.4) :  $\log D_{\text{Fe}}^*$  vs  $1/T$ .  
 Fe % = 75.6  $\Leftrightarrow z=0.112$ ; 76.0  $\Leftrightarrow 0.093$ ; 76.2  $\Leftrightarrow 0.083$ ; 76.5  $\Leftrightarrow 0.067$ .

## 5.2. Isothermal kinetics

**Landler** and **Komarek** measure the loss of mass vs time  $\Delta M(t)$  in H<sub>2</sub>/H<sub>2</sub>O mixtures when reducing polycrystalline samples by thermogravimetry [113]. In their calculations, the coefficients of iron self- and chemical diffusion decrease for increasing  $z$  at constant  $T \in [800 - 1050 \text{ }^\circ\text{C}]$  because of « the increased freezing due to repulsive interaction of the vacancies ». That corresponds to activation energy independent of  $T$ . This observation is related primarily to the formation of clusters, then to the ordering of clusters in the bulk (see Section 2.7. *Trends to ordering*).

Considering the relationship  $\log(\Delta M/\Delta M_0) = n \cdot \log t + k$ , in which  $\Delta M_0$  is the total mass loss, reduction kinetics data from the authors at 950, 1000 and 1050  $^\circ\text{C}$  can be separated into three regimes II, III, and IV (see Annex B, Fig. B1). At 1000  $^\circ\text{C}$ , the calculated mean values of  $n$  are -0.72 (W3?), -0.49 (W2?) and -0.3 (W1?), respectively [114]. A brief anharmonic sequence is observed at the transitions between two reduction processes. The increase of 0.6 eV in the activation energies  $E_{\text{III}} = 1.31 \text{ eV}$  and  $E_{\text{II}} = 1.89 \text{ eV}$ , for the reduction sequences III then II, is observed a decreasing  $z$ , *i.e.*, when the vacancy concentration decreases. It corresponds to the decrease of the ordering on the boundary  $W3 \rightarrow W2$ . This variation is opposite to other results in the literature, particularly to ([112] Fig 5, see section 5.1. *Self-diffusion*). The three coefficients  $n$  are primarily the signature of the bulk modifications by Landler and Komarek, while the three kinetic coefficients  $m$  by Worrall and Coley are primarily characteristic of surface phenomena [113] [73] (see [115]: Bulk and surface process in the course of the reduction).

Similarly, successive different reduction sequences using H<sub>2</sub>O/H<sub>2</sub> gas mixtures that exhibit transitional anomalies can be observed by **Rieke** and **Bohnenkamp** [116] (see Annex A, Fig. A1 c-).

## 5.3. In situ diffusion

**Rickert** and **Weppner** combine a solid galvanic cell for the ionic conduction by O<sup>2-</sup> through the sample while with the other sample face in contact with a platinum foil [117]. The diffusion is studied *in situ* during the relaxation from a composition to another, driven by controlled impulses of electrical potential. Their main result is that the chemical diffusion coefficient increases with increasing  $z$  (*i.e.*, with the vacancy concentration), which disagrees with Landler and Komarek [113] and not with any other authors. Transitional anomalies are clearly noticed (see [117] Fig. 6 and 7 p. 1856, and Fig. 8 p. 1857).

## 6. Relationships between defect structures and the phase diagram

We review key publications from authors who have attempted to solve the key challenge formulated by Carl Wagner in 1930 of linking more tightly defect structure and thermodynamics, such as partial molar properties or the equilibrium constant of formation of the clusters. Kinetics (diffusional) relations were also developed.

### 6.1. Per Kofstad and Zeev Hed

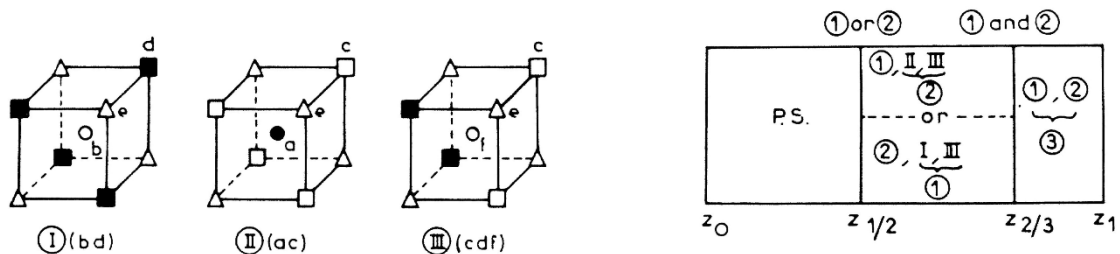
These authors remark that  $z$  increases at constant  $p'$  as  $T$  decreases, which is different than other oxides, and likely due to a complex defect structure [2] [118] (see section 5.1. *Iron self-diffusion* by authors [112]). They emphasize that there is some doubt that all the iron vacancies are doubly charged. The electron holes associated to a vacancy can jump into only one of the 12 next nearest octahedral neighbors ( $\text{Fe}_{\text{Fe}}^{\circ}$ ) and one tetrahedral site ( $\text{Fe}_i^{\circ\circ}$ ). Most of octahedral vacancies are singly charged.

A model can thus be elaborated from the Roth complex  $[\text{V}_{\text{Fe}}^{m(\bullet)} - \text{Fe}_i^{n(\circ)} - \text{V}_{\text{Fe}}^{p(\bullet)}]^{q(\circ)}$ ,  $n=1,3$ ,  $(m,p) = 0,1,2$ . In the relationship  $\sigma \propto p^{1/s}$ , the  $s$  value is larger than the value in the similar relationship connecting  $z$  to  $p'$  because vacancies would be neutral.

### 6.2. Toft Sørensen's works

In a book devoted to nonstoichiometric oxides, Toft Sørensen then with El Sayed Ali [9] [46] tentatively identify the defect structure of the « subphases » in using thermogravimetric data [ $l' - z$ ] at 1000 - 1300 °C exclusively by Bransky and Hed [99]. They consider three main defects (doubly charged vacancy  $\text{V}_{\text{Fe}}''$ , and tetrahedral complex defects (4:1)<sup>(m)</sup> and (6:2)) as defined by Catlow *et al.* (see [9] chapter 2). They focus on the defect cluster differently ionized, which leads them to define a linear plot of  $l'$  vs  $\log y$  ( $\equiv z$ ) with minimal slopes  $1/s$  in the relation  $z \propto (p')^{1/s}$ . A second criterion concerns the so-called exclusion envelope, *i.e.*, the long-range order as a layer or a close packing structure. They localize in the wüstite equilibrium area  $T(z)$  the predominant defect (4:1) plus a layer structure, (4:1) plus a close packing, (6:2) plus a layer structure, according to  $\Delta T$  layers above 1300 °C, between 1300 and 1200 °C and below 1200°C, respectively. In addition, iron vacancies  $\text{V}_{\text{Fe}}''$  are present on the right side of a line at composition  $z$  close to 0.09 (see [46], Fig. 7 p. 20). So, a structural characterization is roughly proposed, and the phase diagram of Fender and Riley [67] and Vallet and Raccach [44] is approximately drawn again.

### 6.3. The C(luster) C(omponent) M(ethod)



Structural unit blocks S.S. I, II, III: positions ■: (16d), □: (16c), ○: (8b), ●: (8a), ⊗: (48f), △: (32e); a and d are for iron, c and f for vacancies (see ref. (6), Table 7.1., p. 186). Short range order in terms of S.S. ① and C.C. ① in the three sub-domains; C.C. 1: I-6III, C.C. 2: II-6III, C.C. 3: I-6III-II. In the range  $[z_0 - z_{1/2}]$ , II and III increase, I decreases; in  $[z_{1/2} - z_{2/3}]$ , II and III decrease, 1 or 2 increases; in  $[z_{2/3} - z_1]$ , 1 and 2 decrease, 3 increases.

**Fig. 12:** C.C.M. applied to wüstite (from ref. [121]). The three pseudo phases from  $z_0$  on the  $\gamma$ -Fe/W1 boundary to  $z_1$  on the W3/Fe3O4 boundary described with the help of three cluster components ①, ②, ③.

In the course of their theoretical work about oxides properties, **Men' *et al.*** were concerned with the wüstite phase [6] [119]. Men' and Carel apply C.C.M. to model the wüstite solid solution [120] [121] [122]. The « cluster components » are defined from crystallographic positions forming unit blocks in the general spinel structure. For example, three cluster components ①, ② and ③, and their concentration as a function of  $z$  allow to build the lattice of the wüstite  $Fe_{1-z}O$ , and to model some of its physical properties, taking into account the pseudo-phases (Fig. 12).

#### 6.4. Other modeling approaches.

**Mrowec *et al.*** work on defect clustering in the wüstite phase using a collection of data ( $z$ ,  $\log p'$ ) from the literature [47], [14]. They envisage all the defect clusters suggested by Catlow and Fender [123], *i.e.*, (4:1), (6:2), (8:3), (10:4), (12:4) and (16:5) with several ionization degrees including the highest. Elaborate calculations of the equilibrium constants lead them to the assessment of the enthalpy and entropy of formation of all these ionized clusters, then to  $\Delta\bar{H}_Q$  independently of  $z$  and  $T$ , and to  $\Delta\bar{S}_Q$  for  $z \in [0.055-0.145]$ . They conclude that the (4:1) cluster is the most likely to occur and that the ionization degree increases with temperature to reach the maximum value 5- at 1573 K.

**Nowotny and Rekas** [124] describe the defect structure and thermodynamic properties. They investigate the clustering of point defects (iron vacancies and electron holes) across the entire domain using the Debye-Hückel theory, which allows to use a product  $\gamma.[x_i]$  in place of  $[x_i]$ , particularly for  $i=h$ . With this approach, the activity coefficients are merged with the sole mean coefficient  $f_{\pm}$  determined as a function of the ionic force of the solution (and not of its square root). They modeled the interactions during the formation of the cluster (4:1) taking into account activity instead of concentration. Sets of data ( $z$ ,  $\log p'$ ) and  $(\Delta\bar{H}_Q, z)$  were gathered from the literature. They evaluated the enthalpy and entropy of formation of a cluster (4:1)<sup>5-</sup> at  $-396.7 \text{ kJ.mol}^{-1}$  and  $+267.4 \text{ J.K}^{-1}.\text{mol}^{-1}$ , respectively. They concluded that this cluster (4:1)<sup>n</sup> would be the constitutive module of more extended clusters. An expression of the equilibrium constant for the cluster formation is determined across the whole domain independently of the existence of pseudo-phase transitions.

#### 6.5. Cluster stability

**Catlow and Fender** try first to access the defect structure by means of large-scale computation of the binding energies following the Born model [123]. They envisage in a theoretical manner the clusters unity (4:1), edge-sharing (6:2) and (8:3), corner sharing (16:5) precursor of  $Fe_3O_4$ . The location (zone [ $z$ ,  $T$ ] of the phase diagram) of these clusters is suggested qualitatively, but not in relation with the pseudo phases.

Later, Catlow *et al.* assessed the formation energy and the relative stability of the clusters by means of the Mott-Littleton method [125]. The cluster (12:4) <110> type by Lebreton and Hobbs is considered as being the most stable extended cluster, after clusters (6:2) and (8:3) [126]. In a summary-paper [127], four classes of clusters are envisaged: corner-sharing clusters (4:1), (7:2), and (16:5); edge-sharing clusters (6:2), (8:3) and (12:4); ZnS blende like cluster (10:4) [127] [128] [129]; clusters (13:4) and (5:2) [130] [131]. The authors note that the (10:4) blende ZnS cluster envisaged by Grimes *et al.* [129] [132] is not the most likely because it was characterized without taking into account the geometric relaxation [127].

**Tomlinson and Catlow** attempt to relate the structural modeling of short-range order, and the macroscopic variation of  $z$  as a function of  $p'$ . The calculated binding energy per vacancy leads them to order the clusters as follows:  $[(8:3)^{+1}, (12:4), (6:2), (16:5)^{-1}, (12:5)^{-1}, (13:4)^{-2}, (4:1)^{-1}, (10:4)^{-4}, (5:2 M), (5:2 G)]$  from 2.24 to 0.71 eV, successively. Finally, they propose a mixture of clusters  $[(12:4), (6:2), (4:1)]$  for the incommensurate sub-phase  $P'$  [133].

Then, **Grimes *et al.*** consider the structure and stability of clusters with different coordination types [132] [134]. They base their analysis on a generalized crystal field for the 3d electrons of  $Fe^{3+}$  and  $Fe^{2+}$ , including the energy of the orbitals 2s and 2p of oxygen. Their computation of the binding energy per

vacancy favors the clusters  $\langle 110 \rangle$  ZnS blende and  $\langle 111 \rangle$  spinel type stacking. It rules out clusters (8:3) and (10:4), based on  $\langle 100 \rangle$  stacking of (4:1) units, and the Koch and Cohen (13:4) cluster. Within the lower field of stoichiometry (P' phase), smaller clusters coexist including (4:1), (6:2), (7:2)  $\langle 110 \rangle$ , (10:4) ZnS blende type, and (7:2)  $\langle 111 \rangle$  spinel type. Larger clusters from the (12:4)  $\langle 110 \rangle$  type, (18:6), (16:5) spinel  $\langle 111 \rangle$  type, (16:5)  $\langle 110 \rangle$  type and (16:7)  $\langle 110 \rangle$  ZnS blende type clusters can be invoked at higher nonstoichiometry (P'' phase). Finally, they assert that the charge of the clusters should be zero in order to agree with experimental data.

## 6.6. Diffusion and stability

In a review about nonstoichiometric oxides, **Collongues** [18] envisages particularly the clustering as specified by Desmarescaux and Lacombe (see *section 5.1. Self-diffusion of iron*). The activation energy of the diffusion increases with increasing  $z$ , while the opposite effect is the principal rule. « Vacancy clusters » are the reason of this unusual behavior. Catlow *et al.*'s calculations of ( $\text{Fe}^{3+}$ -vacancy) binding energy indicate that clusters, such as (6:2) or (8:3) of size lower than that of (16:5) (precursor of magnetite), are more stable than this latter cluster. This feature would indicate that even a cluster structurally far from magnetite is responsible of the reduced diffusion process. The existence of three domains in the phase diagram is envisaged.

Note: The classification of the clusters become more complicated because of the increasing number of proposals in the literature. Initially, only the criterion of corner or edge sharing of the basic (4:1) units was needed. Mixed cases were thought to be the exception. Lebreton and Hobbs [126] introduced three way of clustering: *edge sharing along  $\langle 100 \rangle$  (Type I)*, *corner sharing along  $\langle 110 \rangle$  (Type II)*, and *corner sharing along  $\langle 111 \rangle$  (Type III)*. Mixed ways are also to be envisaged. Clusters based on composition alone are possible exceptions (*e.g.*, cluster (10:3)). Labidi and Monty (see [135] p. 100-101) resumed the situation differently with the *types 1 (face shared) aligned in  $\langle 100 \rangle$  direction*, *2 (edge shared) aligned in  $\langle 110 \rangle$  direction*, and *3 (corner shared) aligned in  $\langle 111 \rangle$  direction*. Worrall and Coley (see [22] p. 24-26) classified the clusters in three types defined as either *type 1 (corner shared as in magnetite)*, *type 2 (edge shared sharing one octahedral vacancy)*, or *type 3 (edge shared sharing two octahedral vacancies)*.

## 7. Structural approaches. Models for short- and long-range ordering

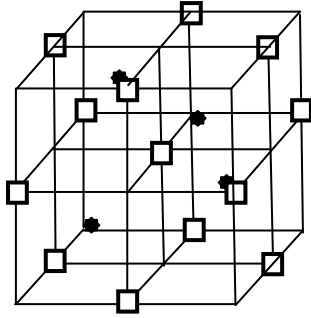
### 7.1. Roth model: the cluster (2:1)

Considering the experimental uncertainties inherent to X-ray diffraction on polycrystalline oxides, it is more suitable to use neutron diffraction experiments to determine correctly the site occupancies relative to iron atoms in octahedral and tetrahedral sites of the NaCl-like lattice. Initial results relative to quenched wüstite are obtained using neutron diffraction by **W. L. Roth** who determines the octahedral and tetrahedral site occupancies of vacancies and  $\text{Fe}^{3+}$  cations, respectively represented by  $(z+t)$  and  $t$  [35]. The characterization of the ratio  $R = (z+t)/t$  was found close to  $R=2$  for samples quenched from high temperatures. The neutron diffraction patterns obtained at 290 K and 4.2 K suggested the presence of defects constituted of two cation vacancies associated with one interstitial cation in the tetrahedral site. These two characteristics suggested a trend to the magnetite structure. In addition, the average magnetic moment per cation site was found to be much smaller than expected.

### 7.2. The historical Koch and Cohen cluster (13:4)

In 1969, **Koch** and **Cohen** publish a study by X-ray diffraction on a single crystal of wüstite  $\text{Fe}_{0.902}\text{O}$  ( $z=0.098$ ) obtained after quenching from 1000 °C, and « corresponding to the P' phase of Manenc » [130].

The authors proposed a new commensurate defect structure based on (13:4) large clusters (Fig. 13) distributed in the fcc lattice (cell parameter  $a$ ) with a repetition distance (3a, 3a, 3a) noted as 3X. For the first time, a detailed crystallographic determination proposed a cluster corresponding to the corner sharing  $\langle 110 \rangle$  type agglomeration of four basic (4:1) clusters.



**Fig. 13: The Koch and Cohen cluster (13:4) associated with a 3X commensurate structure of wüstite  $\text{Fe}_{0.902}\text{O}$ .**

To perform their structural refinements, the authors fixed this cluster model assuming that the resulting superstructure should have a periodicity of 3X (the clusters being arranged only with regular distances of three cell parameters), in agreement with the known composition  $z=0.098$ , despite the fact that the additional peaks correspond to a superstructure 2.6X incompatible with their assumption.

### 7.3. Neutron diffraction

Using *in situ* neutron diffraction experiments, **Cheetham, Fender and Taylor** [136] show that the ratio  $R = (z+t)/t$  ranges between 3 and 4, with a mean value of 3.2, which can be compatible with a specific (13:4) cluster ( $R = 3.25$ ).

Using neutron diffraction at low and room temperature, coupled with magnetic scattering, **Battle and Cheetham** determine ratios  $R = 2.78, 2.82, \text{ and } 3.01$  and conclude that a cluster (8:3) can be consistent with their observations [137] and the preceding calculations of Catlow *et al.* (see [9] Chapter 8 p. 407-410: summary of these results by Cheetham). They couple neutron diffraction experiments to Mössbauer effect analyses and study the antiferromagnetic coupling of iron cations in octahedral and tetrahedral sites of the FeO lattice (see [137] Fig. 4 p. 343). For the first time, these authors show that atomic clustering observed in quenched samples (out of equilibrium) can be characterized from the study of magnetic properties of clusters in a lattice. This result will be used again by Saines *et al.* [138].

The numerous results obtained by **Radler, Cohen and Faber jr** from neutron diffraction patterns of polycrystalline samples, under equilibrium, yield values of  $R > 3$  corresponding to mixtures of clusters at high temperature, and  $R < 3$  to clusters larger than (10:3) below 1173 K [139]. This result could indicate a possible differentiation between the structures of W and W'.

**Schweika et al.** find a ratio of vacancies to interstitials  $R = 4.0 (\pm 0.5)$  obtained under *in situ* conditions by neutron diffuse scattering from a single crystal with composition  $z = 0.08$ , at  $T=1150\text{ }^\circ\text{C}$  [140, 141]. Their analysis of the diffuse scattering patterns leads them to conclude that 30% of the vacancies are free, while a further 15% of the defects are bound in isolated clusters (4:1). In their analysis, the authors state that their results invalidate the predominance of large clusters at elevated  $z$ . For example, the (13:4) cluster cannot fit the diffuse scattering that they characterized near the origin.

**Saines et al.** in Cheetham's team study by neutron diffraction a polycrystalline sample of wüstite  $\text{Fe}_{0.902}\text{O}$  quenched from  $900\text{ }^\circ\text{C}$  (from the domain of W') [138]. They derive nuclear and magnetic clusters from an elaborate Reverse Monte Carlo modeling technique. The nuclear structure is described by islands of V4T units connected along the  $\langle 110 \rangle$  directions « into a Koch-Cohen arrangement ». The magnetic structure in the bulk between the clusters is described as a « non-collinear variant » of the antiferromagnetic structure along the [111] axis as previously envisaged in [137]. Free vacancies are near the clusters. This structural approach can be compared with the paracrystalline description of the defect distribution by Welberry *et al.* [142] [143] [144].

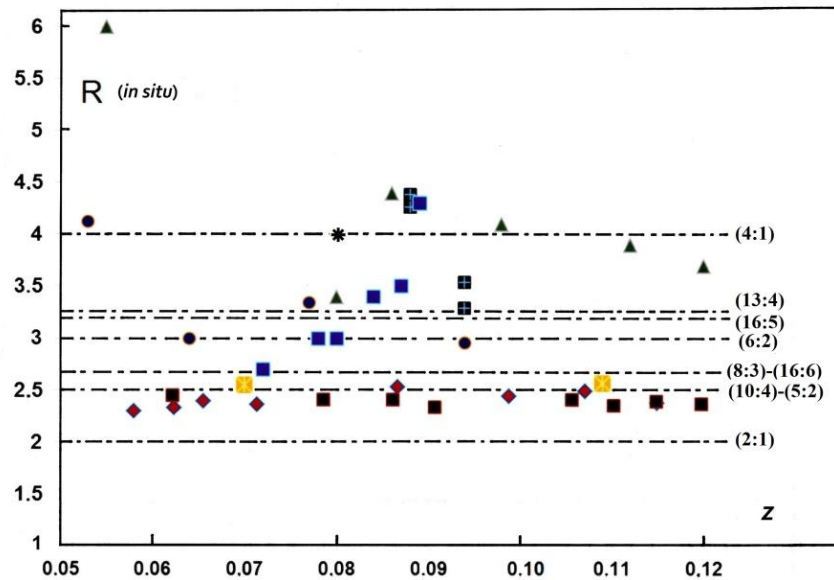


#### 7.4. X-ray diffraction

**Welberry et al.** present a detailed study of X-ray diffuse scattering for a single crystal with composition  $z=0.057$ , obtained after quenching from a temperature which is not specified. Their sample corresponds either to the Manenc's phase P (see [75] [76]), or to the W1 domain proposed by Vallet *et al.* [26]-[29]. The X-ray diffuse scattering analysis coupled with a paracrystalline modeling gives information on defect distribution, defect cluster size, number of interstitials, and lattice strain. The authors describe (statistically) a specific crystal constituted of ordered zones of clusters randomly distributed in a fcc lattice [142] [143] [144].

#### 7.5. Gavarri et alii 's works

In 1979, using *in situ* neutron diffraction experiments, Gavarri, Carel and **Weigel** develop an analysis of the structural evolution of wüstite in CO<sub>2</sub>/CO environments at high temperature [145]. A systematic determination of the ratio of the vacancy rate ( $z+t$ ) divided by the interstitial rate  $t$ ,  $R = (z+t)/t$ , is carried out. Quasi constant values of  $R$  close to  $2.4 \pm 0.4$ , at two equilibrium temperatures (985 and 1075 °C) and compositions  $z$  ranging between 0.058 and 0.120, are determined, with standard errors induced by the uncertainties on the separation between Bragg peaks and a complex diffuse scattering.



**Fig. 14:  $R = (z+t)/t$  values vs  $z$ , determined under equilibrium, from the literature.**

■: at 900°C [131]; ●: at 800°C and ■: at 925, 1050, 1125, 1180°C [136]; ▲: at 1050°C and ■: at 850-1100°C [139]; \* : at 1150°C [141]; ◆ : at 1075°C and ■: at 985°C [145].

Fig. 14 displays the values of  $R$  obtained by different authors. In the case of studies made *in situ*, most of the values of  $R$  range between 2.4 and 3.5. The authors observe two types of signals: (i) Bragg peaks from which the ratio  $R$  is determined, (ii) additional scattering corresponding to superstructure peaks, and thermal and static diffuse scattering associated with defect clustering, disorder and static distortions (see [145] and *infra* Fig. 15). The intensities  $I_A(T, z)$  of this additional scattering increases linearly with composition  $z$  and decreases with temperature.

The ratios  $I_A/I_{220}$ , where  $I_{220}$  is the intensity of the (220) Bragg peak, vary as follows:

- $I_A/I_{220} (985 \text{ °C}) = 0.19 + 4.05(z-0.062)$  (23)

- $I_A/I_{220} (1075 \text{ °C}) = 0.15 + 4.25 (z-0.058)$  (24)

Therefore, these linear variations coupled with a small variation of the superstructure parameter  $k$  suggest a quasi-invariance of cluster size with clusters agglomerated in domains, and with the size of these domains

increasing with  $z$ . The position of the centroid of this scattering allows the determination of an average superstructure corresponding to an irregular spacing of clusters quasi-constant with  $z$  or  $T$ . The average distance between clusters is evaluated to  $2.7a_0$ ,  $a_0$  being the cell parameter of ideal wüstite for a fixed temperature.

In later works by neutron diffraction on quenched samples of magnesio- and calcio-wüstites, using Rietveld refinements, Gavarrí *et al.* [128] [146] determined  $R$  values close to 2.5 for pure wüstites or for magnesio-wüstites  $\text{Fe}_{(1-z-y)}\text{Mg}_y\text{O}$ . In the case of calcio-wüstites  $\text{Fe}_{(1-z-y)}\text{Ca}_y\text{O}$ , as the composition  $y$  increased, the ratio  $R$  increased strongly with  $R > 4$  and the superstructure vanished. Under these conditions, the cluster sizes would be strongly modified, and a majority of free vacancies would appear likely. These studies demonstrate that the formation of clusters is stabilized by Mg doping, and destabilized by Ca doping, producing free vacancies coexisting with residual clusters.

Fig. 15 shows a neutron diffraction pattern obtained from a quenched polycrystalline calcio-wüstite ( $z = 0.065$ ;  $y = 0.030$ ;  $R = 3.3 \pm 0.5$ ). In addition to Bragg peaks, the complex signal due to the superstructure and the structural disorder can be observed.

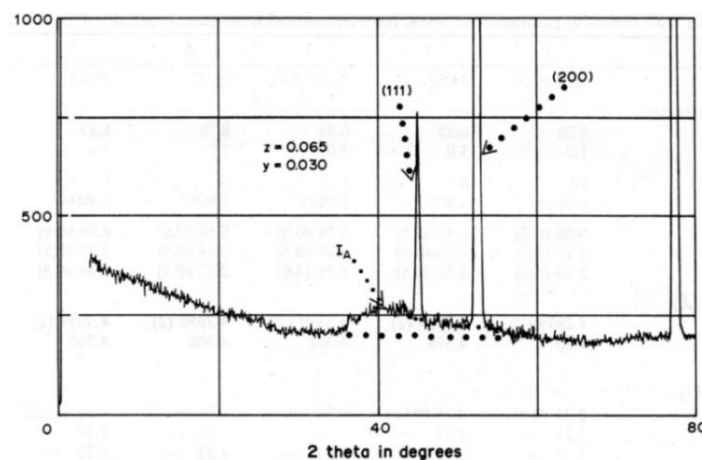


Fig. 15. Neutron diffraction pattern ( $\lambda = 1.9 \text{ \AA}$ ) of a quenched polycrystalline calcio-wüstite  $\text{Fe}_{1-z-y}\text{Ca}_y\text{O}$  in room conditions [146]. Presence of a large diffuse scattering ( $I_A$ ) due to the superstructure ( $k$  close to 2.7), and (110) small peak ( $36^\circ 2\theta$ ) due to vacancies and interstitial  $\text{Fe}^{3+}$  in the lattice. The intensity of  $I_A$  decreases with the calcium fraction  $y$ . Cell parameter  $a(25^\circ\text{C}) = 4.3164 \text{ \AA}$ .  $R=(z+t)/t=3.3$ .

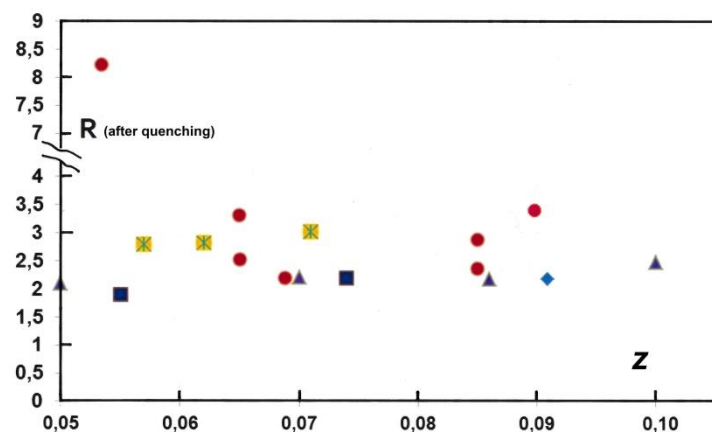


Fig. 16.  $R$  values vs  $z$  from literature determined after quenching.

Quenched  $\text{Fe}_{1-z}\text{O}$ :  $\blacklozenge$  : Gavarrí, Carel, Weigel [145];  
 WMg and WCa:  $\bullet$  : Carel and Gavarrí [146];  
 Quenched  $\text{Fe}_{1-z}\text{O}$ :  $\blacksquare$  : Roth [35];  $\blacktriangle$  : Smuts [147];  $\boxtimes$  : Battle and Cheetham [137]

It is to be noted that the diffuse scattering due to long-range ordering is similar in diffraction patterns of quenched and *in situ* samples. Consequently, only a slight difference would exist between high temperatures and room temperature for the nature of defects and their organization in the fcc lattice.

Table 2 lists the values of R corresponding to the quenched pure and substituted wüstites resulting from these studies (magnesium- and calcio- wüstites are noted WMg and WCa respectively). The values of the ratio  $R = (z+t)/t$  obtained from the literature on quenched, pure and Ca or Mg substituted, wüstites are displayed in Fig. 16. The utmost value  $R = 8.2$  is obtained for a calcium rich calcio-wüstite ( $y=0.05$ ).

**Table 2-  $R = (z+t)/t$  values obtained from Rietveld analyses of neutron diffraction patterns of quenched substituted wüstites (from ref. [146]).**

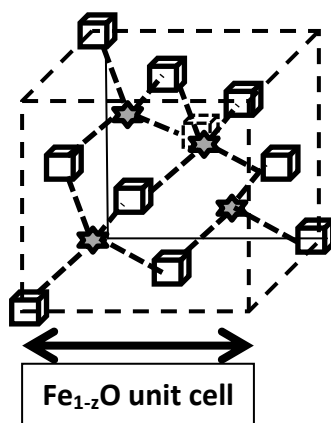
| $\text{Fe}_{1-z-y}\text{Mg}_y\text{O}$ | Fraction y (Mg) | $R = (z+t)/t$ (*) |
|--|-----------------|-------------------|
| $z=0.065$                              | 0.075           | 2.53(0.5)         |
| $z=0.085$                              | 0.030           | 2.88(0.6)         |
|  |                 |                   |
| $\text{Fe}_{1-z-y}\text{Ca}_y\text{O}$ | Fraction y (Ca) | $R = (z+t)/t$     |
| $z=0.0688$                             | 0.010           | 2.20(0.5)         |
| $z=0.0649$ (**)                        | 0.030 (*)       | 3.31(0.5)         |
| $z=0.0534$                             | 0.050           | 8.22(4.0)         |
| $z=0.085$                              | 0.010           | 2.37(0.5)         |
| $z=0.0899$                             | 0.030           | 3.39(0.5)         |

(\*) experimental uncertainty (due to diffuse scattering); (\*\*) See Fig. 16.

### 7. 6. T(ransmission) E(lectron) M(icroscopy) analyses

In the past, microstructural analyses using electron diffraction and HREM images were performed (see **Andersson and Sletnes** [76]). The images obtained by **Iijima** for a sample  $\text{Fe}_{0.92}\text{O}$  « provided by Prof. Cohen » are often referenced [79]. The presence of the hypothetical cluster (10:4) <110> type with a ZnS-blende configuration has been particularly envisaged.

When re-interpreting the images obtained from electron microscopy experiments by Ishiguro and Nagakura [148], Gavarrì, Carel, Weigel [149], then **Nihoul**, Gavarrì, Carel [150] show that this cluster corresponding to the ideal ratio  $R = 2.5$  is compatible with the experimental data available (at least for quenched wüstites). This cluster depicted in Fig. 17 is formed of a « FeO » cubic cell surrounded by an envelope of  $\text{Fe}^{3+}$  cations with total 3D dimension  $(2a \times 2a \times 2a)$ .



**Fig. 17. The cluster (10:4) ZnS blende <110> type.**

- ★ =  $\text{Fe}^{3+}$  in tetrahedral site
- = Iron vacancy in octahedral site

In the case of crystals quenched from 1000 °C, and with a composition  $z = 0.10$  [148], the formation of local ordered domains of these clusters can be at the origin of all observations corresponding to a mean ratio  $R$  close to 2.5, and a quasi-invariant superstructure. The authors propose a progressive ordering of clusters as  $z$  increased: for low  $z$  values, repetition distances  $3a$  linkable to variety P by Manenc [73], or W1, are observed. For intermediate  $z$  values, repetition distances  $3a$  and  $(2.55a \times 2.55a \times 2.55a)$  are formed in local zones linkable to W3 or P''.

A specific well-ordered superstructure can be based on a 3D supercell with parameters  $(5a \times 5a \times 5a)$ . This superlattice can be associated with the limit evolution at the boundary  $W3/Fe_3O_4$ . Such a superstructure was depicted at equilibrium by Shaïovitch, Zvinchuk and Vergazov [91]. Finally, the distinction between « varieties of wüstite » or pseudo-phases can be due to both modifications of long-range order of clusters and the coexistence of different clusters that include free vacancies.

To define an isolated cluster correctly, particularly the ZnS blende defect cluster (10:4), it is necessary to take into account its envelope of  $Fe^{2+}$  and  $Fe^{3+}$  cations in octahedral sites. The charge of the cluster can be postulated as a first approximation by assuming the individual charges of vacancies and of iron atoms. In Fig. 18 is illustrated the possible arrangement of these defect clusters (10:4), in the fcc lattice. The minimum distance between clusters is  $[(2.5a)^2 + (0.5a)^2]^{1/2} = 2.55a$ , and with allowed distances up to  $3a$ . This model is based on a cluster core (10:4) with double envelope of octahedral iron cations.

If we assume that a cluster (m:n) has a global charge  $Q = 3n - mq$  ( $m$  vacancies with formal charges  $q (=2,1,0)$  and  $n$  interstitials  $Fe_i^{\circ\circ\circ}$  with charges  $+3$ ), then the (10:4) cluster has a charge of  $Q = -8, +2, \text{ or } +12$  for  $q = 2, 1$  or  $0$ , respectively. The electroneutrality is ensured by envelopes with charges  $-Q$ . Using this type of defect structure, a model of ordered (10:4) clusters is proposed [149] [150], thus allowing simulation of HREM images in the two directions [001] and [101] of the crystal. These hypothetical distributions of clusters (Fig. 19) are in agreement with the HREM images by Ishiguro and Nagakura [148].

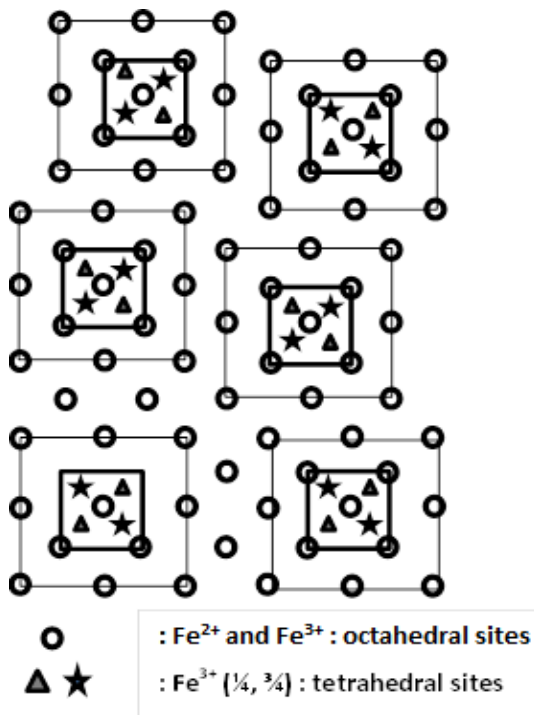


Fig. 18. Various orderings of clusters (10:4) in the fcc lattice of wüstite. The basic cluster can be the (13:4), the (10:4) or other possibilities with 4 interstitial sites occupied by cations  $Fe^{3+}$ . The disorder can develop by statistical displacement in one, two or three directions.  $2.55a$  could be the smaller inter-cluster distance ( $a =$  cell parameter of the “FeO” lattice).

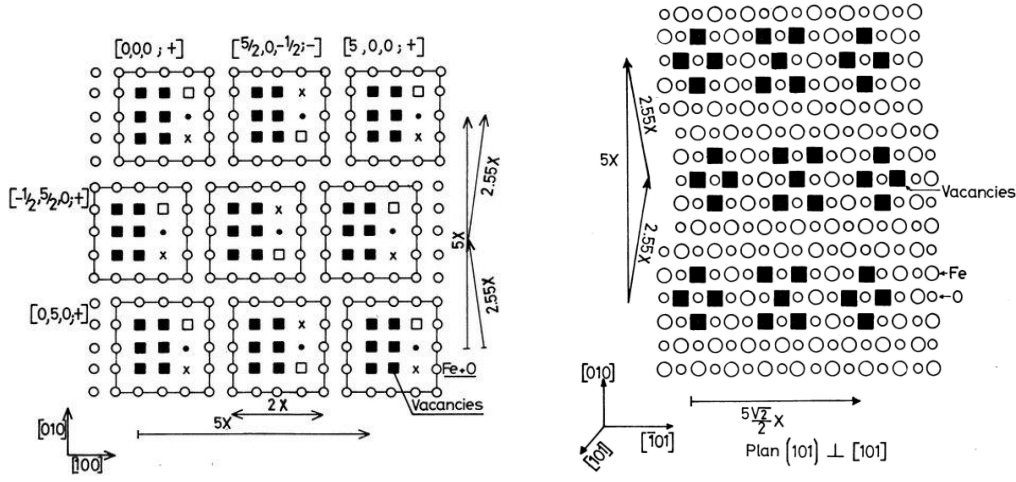


Fig. 19. Model of local ordering of (10:4) blende ZnS clusters, in agreement with the experimental HREM images by Ishiguro and Nagakura [148] (from ref. [149]).

## 8. Hypothesis of a mixture of defect clusters, and/or cluster zones

### 8.1. Mixture of clusters

Based on previous publications by Gavarrí *et al.* [145] [151], a specific relationship can be established taking into account ordered zones of clusters and zones in which free vacancies are distributed. A mixture of different clusters  $(m:n)_1$  and  $(m:n)_2$  can coexist with additional free vacancies. As a first approximation, the authors consider a lattice based on  $N$  cells of  $Fe_{1-z}O$ , with a proportion  $V(z)$  of zones without defect. If  $N_i$  is the number of cells where clusters  $(m:n)_i$  are formed, with the characteristics  $m_i$  and  $n_i$  of a specific cluster, and the distance  $k_i \cdot a$  between two clusters, then the relation between cluster size and long-range order in the case of the fcc lattice of wüstite can be expressed as follows:

$$1 - V(z) = 4z \cdot \sum_i \left\{ \frac{\pi_i (k_i)^3}{(m_i - n_i)} \right\} \text{ with } \pi_i = \frac{N_i}{N} \quad (25)$$

For a unique cluster with continuous order (high  $z$  values), the relation (25) yields:

$$(m - n) / (k)^3 = 4z \quad \rightarrow \quad (m - n) = 4zk^3 \quad (26)$$

Considering the experimental values of  $k$  available in the literature, ranging between 2.5 and 3, this parameter  $k$  is characteristic of zones in which clusters are ordered partially. This means that, for low values of  $z$ , zones without clusters would exist and that they would be occupied by isolated defects (*i.e.*, free vacancies). The major part of the crystal lattice would be dominated by disordered point defects, and electrical conduction would be due to holes. For intermediate values of  $z$ , the cluster zones would be progressively joining together, forming percolation paths of ordered zones. A mixed conduction mode would be expected. For high values of  $z$ , the lattice would be occupied fully by ordered clusters, with likely small changes in the arrangement of clusters. Electrons in conduction band would mainly condition the electrical conduction.

This hypothetical description is in correspondence with the W1 disordered pseudo-phase and a conduction of p type, the intermediate pseudo-phase W2 as a mixture of cluster zones and free vacancy zones with mixed conduction, *i.e.*, (holes +) and (electrons -) coexisting at short distance, and the ordered pseudo-phase W3 with conduction of n type from electrons in the conduction band.

## 8.2. Variation of parameter $k$

Numerous structural data can be found in the studies by **Bauer, Pianelli** *et al.* ([8] Part I and [152] Part II). These authors develop a series of experiments using X-ray diffraction on powders of quenched wüstites. They observe linear variations of the cell parameter as a function of composition  $z$ , and of the position of superstructure peaks. They characterize these peaks with parameter  $\delta = 1/k$ , where  $k$  designates the superstructure parameter discussed previously. Parameter  $\delta$  varies between 0.37 and 0.39, corresponding to values of  $k$  between 2.7 and 2.56. This variation observed in quenched samples is in good agreement with the model presented in (section 7.6. *TEM analysis*) with a minimum  $k$  value of 2.55. Authors [8, 152] consider that their data did not permit to observe any phase transition. However, they suggest that wüstite can be described by an incommensurate lattice of clusters, with the presence of different clusters based on cluster (4:1).

## 8.3. Statistical analysis of diffuse scattering

Gartstein and Mason [106] show that  $p$  conduction is observed in wüstite and that electrical conduction is based on a hopping mechanism between adjacent clusters. Later, Gartstein *et al.* publish a crystallographic analysis of a  $\text{Fe}_{1-z}\text{O}$  ( $z=0.07$ ) single crystal using X-ray diffraction at 1173 K [131] and [153]. They develop a detailed analysis of diffuse scattering and Bragg peaks, and conclude to the existence of a mixture of clusters (13:4) and asymmetric new clusters (5:2) constituted of 5 vacancies and 2 interstitials corresponding to the ideal ratio  $R = 2.5$ . They propose an ordering of these clusters with an average repetition distance of  $2.5a$ , close to the hypothetical value of  $2.55a$  proposed in [149] [150].

## 8.4. Summary

To conclude this section, it can be noted that there is an inherent difference between the concept of a mixture of disordered clusters with various forms, and the concept of zones with identical disordered clusters, coexisting with zones of free point defects. The concept of incommensurability, applied to specific compositions  $z$ , was also proposed as a mathematical approach of disorder (see Yamamoto [154] and Weigel *et al.* [155]). Finally, the proposition of the irregular cluster (5:2), resulting from the analysis of diffuse scattering by Gartstein, Mason, Cohen [131] [153], seemed to be compatible with the quasi-constant value  $R=(z+t)/t = 2.4 \pm 0.4$  [145].

## 9. Defect clustering and equilibrium equations

In this section, using basic equilibrium equations from the literature (see particularly [9] and [46]), we propose a new presentation of defect equilibrium equations for wüstite.

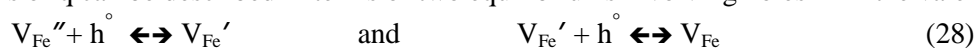
It is important to remind that, in  $\text{Fe}_{1-z}\text{O}$  where only  $\text{Fe}^{2+}$  (also noted  $\text{Fe}_{\text{Fe}}$ ) and  $\text{Fe}^{3+}$  cations (also noted  $\text{Fe}_{\text{Fe}}^{\circ}$  or  $\text{Fe}_{\text{Fe}}^{\circ\circ}$ , for cations in octahedral or tetrahedral sites, respectively) can coexist, cation vacancies can have one of three possible stable charges: doubly charged vacancies  $V_{\text{Fe}}''$ , simply charged vacancies  $V_{\text{Fe}}'$ , and neutral vacancies  $V_{\text{Fe}}^{\times}$  (noted  $V_{\text{Fe}}$ ). Singly charged iron vacancies  $V_{\text{Fe}}'$  were proposed previously in place of doubly charged vacancies  $V_{\text{Fe}}''$  by Kofstad and Hed [118]. Vacancies of the oxygen sublattice are not considered because they occur in negligible numbers compared to the iron vacancies.

In section 2.2. *Modifications or pseudo-phases*, the equilibrium constant (4b) of the hypothetical equilibria (4a), in which the activity of oxygen  $\text{O}_{\text{O}}$  in the solid is taken equal to 1, can be developed with  $q=0, 1$  or  $2$  following the general expression in which  $s = 2(q+1)$ :

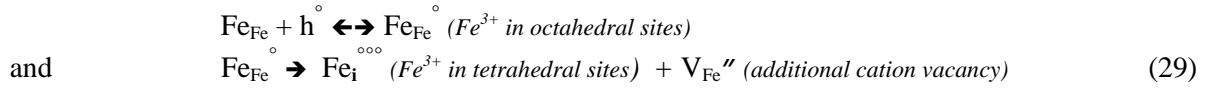
$$\log z = (1/s) \cdot l' + (1/s) \log (K/C)^2 \quad (\text{with } C = (q)^{2q}) \quad (27)$$

(identical to equation (4c)). The three hypothetical values of  $s$  corresponding to  $q = 2, 1$ , and  $0$  are  $s = 6, 4$ , and  $2$ , respectively.

The modifications of  $q$  can be described in terms of two equilibria involving holes  $h^{\circ}$  in the valence band



Therefore, three domains corresponding to the three types of iron vacancies can exist in all systems presenting significant cation deficiency. In the case of  $\text{Fe}_{1-z}\text{O}$ , additional reactions occur since two valences ( $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$ ) exist:



As a first approximation, assuming that the sole point defects are iron vacancies, associated with  $\text{Fe}^{3+}$  ( $\text{Fe}_{\text{Fe}}^\circ$ ) and coexisting with  $\text{Fe}^{2+}$  ( $\text{Fe}_{\text{Fe}}$ ) in  $\text{Fe}_{1-z}\text{O}$ , the general relationship between composition  $z$  and oxygen partial pressure  $p'$  is as follows

$$q \text{Fe}_{\text{Fe}} + \frac{1}{2} \text{O}_2 \leftrightarrow q \text{Fe}_{\text{Fe}}^\circ + \text{V}^{q(\prime)} + \text{O}_\text{O} \rightarrow K_q = [\text{Fe}_{\text{Fe}}^\circ]^q \cdot [\text{V}^{q(\prime)}] / ([\text{Fe}_{\text{Fe}}]^q \cdot p'^{1/2}) \quad (30)$$

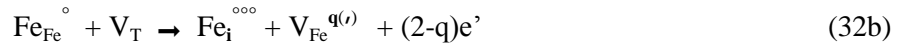
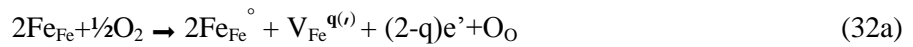
In this expression,  $[\text{Fe}_{\text{Fe}}] \approx 1-3z$  and  $[\text{Fe}_{\text{Fe}}^\circ] \approx 2z$ .

As all molar fractions are related to the composition  $z$ , the next relation can be derived:

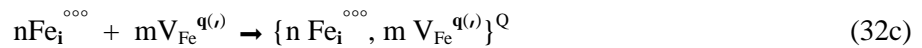
$$K_q = [\text{Fe}_{\text{Fe}}^\circ]^q \cdot [\text{V}^{q(\prime)}] / ([\text{Fe}_{\text{Fe}}]^q \cdot p'^{1/2}) = 2^q \cdot z^{(q+1)} / (1-3z)^q \cdot p'^{1/2} \quad (31)$$

If this simple model is correct, then the term  $(1-3z)$  should vary slowly with  $z$  in a reduced composition range, meaning that the activity of  $\text{Fe}_{\text{Fe}}$  can be considered as being constant. Under this assumption,  $z$  is roughly proportional to  $(p')^{1/s}$ , with  $s = 2(q+1)$  being an integer characteristic of the nature of the defects. For  $q = 2$  (doubly charged vacancies) we obtain  $s \approx 6$ . For  $q = 1$  (singly charged vacancies),  $s \approx 4$ . For neutral vacancies,  $s \approx 2$ .

Now, let us consider complex clusters  $(m:n)^Q$  with variable formal charge  $Q$  and successive reactions in which vacant tetrahedral sites  $\text{V}_T$  are introduced:



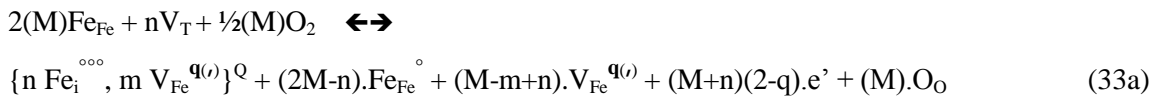
The formation of stable cluster can be written:



with  $Q = 3n - qm$  (positive or negative charge). The cluster will be noted:  $\text{CL} = \{n \text{Fe}_i^{\circ\circ\circ}, m \text{V}_{\text{Fe}}^{q(\prime)}\}^Q$ .

The formal charge  $Q$  of a cluster is assumed to result from individual charges of vacancies with the invariant charge of interstitials  $\text{Fe}^{3+}$  ( $\text{Fe}_i^{\circ\circ\circ}$ ) as a first approximation. However, the  $\text{Fe}^{3+}$  interstitial can be modified into  $\text{Fe}^{2+}$  interstitial cation due to electron jumping, and as a result a more complete model should take into account various possible  $Q$  values of cluster charges due to the modification of interstitial cation charges.

Considering the formation of clusters  $\text{CL}$  coexisting with point defects  $\text{Fe}_{\text{Fe}}^\circ$  and  $\text{V}_{\text{Fe}}^{q(\prime)}$ , a more elaborate equation (32a) can be expressed as follows:



with the hypothesis:  $Q = 3n - qm$  (positive or negative).

In equation (33a), the integer  $M \geq m$  is introduced arbitrarily to take into account the possible presence of additional free species  $\text{Fe}_{\text{Fe}}^\circ$  and  $\text{V}_{\text{Fe}}^{q(\prime)}$ , associated with free electrons in the conduction band. The additional vacant tetrahedral sites are the vacant sites  $\text{V}_T$  able to receive an interstitial cation  $\text{Fe}_i^{\circ}$ .

As a major fraction of these sites (8 sites for 4  $\text{FeO}$  units in a fcc cell) is vacant, their activity can be considered as being quasi-constant, and does not play a significant role in the calculation of equilibrium

constants. The resulting equilibrium constant is as follows, with the reduced notation  $[CL] = [\{n \text{ Fe}_i^{\circ\circ}, m \text{ V}_{\text{Fe}}^{q(\prime)}\}^Q]$ :

$$K(T) = [CL] \cdot [\text{Fe}_{\text{Fe}}^{\circ}]^{(2M-n)} \cdot [\text{V}_{\text{Fe}}^{q(\prime)}]^{(M-m+n)} \cdot [e^-]^{(M+n)(2-Q)} / ([\text{Fe}_{\text{Fe}}]^{2M} \cdot [\text{V}_T]^n \cdot (p')^{M/2}) \quad (33b)$$

In this expression, the cluster concentration  $[CL]$  is considered as being proportional to  $z$ , at least as a first approximation. Applying the same approximation as in previous equilibrium equations, the equilibrium constant can be expressed as follows:

$$K(T) = z \cdot z^{(2M-n)} \cdot z^n \cdot z^{(M+n)(2-Q)} / ((1-3z)^{2M} \cdot (p')^{M/2}) \approx z^{(2m+1+(2-Q)(m+n))} / (1-3z)^{2m} \cdot (p')^{m/2} \quad (33c)$$

No activity coefficient is taken into consideration. Consequently, in a restricted range of composition  $z$ , no significant change of interactions occurs between point defects or clusters. To illustrate the possible values of parameter  $s$  corresponding to the three hypothetical  $q$  values,  $l'$  has been simulated as a function of  $\log z$  using the expressions of  $K(T)$ . The slopes of the curves ( $l'$  vs  $\log z$ ) were determined. The two specific clusters (4:1) and (10:4) have been chosen to calculate the various coefficients  $s$  depending on the charges  $q$  of vacancies.

The results are:

- for a cluster (4:1), the three coefficients  $s$  are equal to 5.7, 8.2 and 10.7 for  $q=2, 1$  and  $0$ , respectively;
- for a cluster (10:4), the three coefficients  $s$  are equal to 5.4, 8.2 and 11 for  $q=2, 1$  and  $0$ , respectively.

It can be noted that, for either the cluster (4:1) or the cluster (10:4), the coefficients  $s$  resulting from the calculations are quite similar for a given value of  $q$ . Given the approximations included in the model and expected experimental uncertainties, these simulated values (from  $s = 5$  to  $11$ ) can be considered as being congruent with the experimental  $s$  values obtained in the literature and ranging between  $4$  and  $10$ . In other terms, the existence of pseudo-phases might be reasonably thought to be associated with the variation of the electrical charges ( $q$ -) of cation vacancies, and, more generally, with the variation of the electrical charges  $Q$  of the clusters.

These changes of electrical charges are strongly correlated with the increasing density of them: in other terms, two neighboring vacancies  $V''$  with the same negative charge  $2-$  can produce two singly charged  $V'$  or neutral  $V^x$  vacancies, which will decrease the coulomb repulsion between them, and require additional free electrons migrating in the conduction band.

Now, using this general approach delivering specific  $s$  values, it is possible to justify the various modifications observed by many authors including Vallet *et al.* [26-27, 29], Geiger Levin and Wagner [65], Fender and Riley [67], Takayama and Kimizuka [70], Worrall and Coley [73]. In particular, let us recall the values  $s(W1) = 4.6$ ,  $s(W2) = 6.2$ ,  $s(W3) = 9.2$ , extracted from the data by Vallet *et al.*, as well as values  $s(W1) = 4.8$ ,  $s(W2) = 6$ ,  $s(W3) = 9$  extracted from the data by [70] (see section 3.7. Takayama and Kimizuka's *phase modifications*).

Considering the set of values  $s = 4.6, 6, 9$  associated with  $W1, W2$  and  $W3$  respectively, for low values of  $z$ , the existence of doubly charged iron vacancies  $V_{\text{Fe}}''$  can be associated with a major population of holes corresponding to mode 'p' of conduction. For high values of  $z$ , the existence of singly charged or neutral vacancies can be associated with mode 'n' of conduction, *i.e.*, to the injection of electrons in the conduction band. For intermediate values of  $z$ , an intermediate state would exist with a mix of holes in the valence band and electrons in the conduction band, following a rate varying from  $z_{1/2}$  to  $z_{2/3}$ .

These considerations can be supported by the p-n transition [89] described many times in the literature (see Fig. 10). The authors provide a detailed interpretation for the existence of various defect configurations corresponding to pseudo-phases in the entire wüstite phase diagram.

For mean values of  $z$ , neutron diffraction data show that the clusters are assembled in limited ordered zones. For high values of  $z$ , the ordered cluster zones percolate (see [90] HREM images for  $z=0.08$  and



$z=0.10$ ). The change in vacancy charge as  $z$  increases can be accompanied by injection of free electrons in the conduction band. As temperature  $T$  increases, the size of the cluster zones decreases, and the number of cluster zones increases. Correlatively, the population of free defects increases.

Taking into account all these considerations, the W1 pseudo-phase can be associated with a small number of clusters assembled in cluster zones, and a large population of free defects with numerous free  $V_{Fe}''$  vacancies. In this domain, holes can be the major electric charge carriers. The W3 pseudo-phase is constituted of large cluster zones, covering the entire lattice adjacent to the magnetite zone. The neutralization of vacancies (or decreasing  $q$  charges) shall be associated with a large number of electrons occupying the conduction band. As the W2 pseudo-phase was previously considered as a mix system of pseudo-phases W1 and W3 by several authors, properties can be expected to follow continuous evolutions. In other terms, an intermediate structural configuration can exist, corresponding to the formation of percolation paths allowing a mix conduction of holes and electrons.

## 10. Percolation approach

A primitive « composite picture » of a possible evolution of the defect structure needing only two clusters and distinct populations through the subdomains was proposed earlier [156]. Nearly at the same time, a similar simple model of the defect structure was proposed in terms of the so-called theoretical Cluster Component Method (see Section 6.3. *The C. C. M.*, Fig. 12).

In Fig. 20, a representation of the distribution of cluster zones (CLZ) inside the lattice is drawn as a schematic percolation process. The size of cluster zones (CLZ) (represented by black squares) is conditioned by the equilibrium temperature. White squares represent zones with only disordered point defects (free vacancies, individual  $Fe^{3+}$  in octahedral or tetrahedral sites).

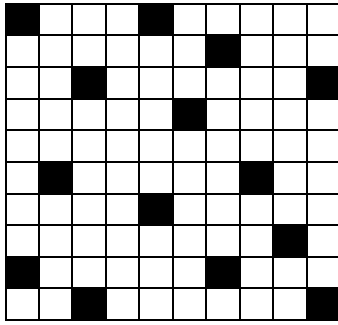
- In the W1 domain, the CLZ's are isolated and immersed in a defect lattice. The transition line W1/W2 corresponds to a specific threshold  $z_{1/2}$  value (for fixed  $T$ ) where there is a starting percolation state.
- The W2 domain might be constituted of large black zones, with percolative paths through the lattice or both types of zones. The W2/W3 transition line might be reached when the residual white zones are no more percolative.
- The W3 state is reached when black zones envelop white zones up to a limit state where all the lattice is covered by a continuum of clusters, *i.e.*, at the boundary W3/ $Fe_3O_4$ .

The effect of temperature is to modify the sizes of clusters zones and the limits of composition for each boundary. As temperature increases, the cluster zones are destabilized, and the size of these zones decreases.

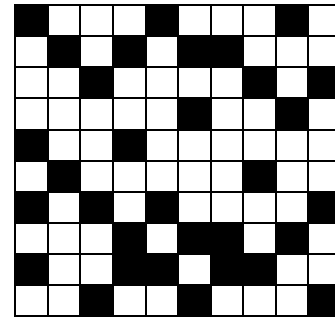
Because of the complexity of the system, it is obvious that no unique specific model can represent the various evolutions. Nevertheless, this approach shows that the modifications W1, W2, W3 may be justified simply from changes in electrical charges of point defects, so of clusters, and are strongly correlated with composition  $z$ , and the degree of ordering. This scheme implies nearly continuous transformations from  $W_i$  to  $W_{(i+1)}$  at the level of the slight deviations from the main diffraction process. However, the discontinuities observed in the partial molar properties (see *supra* Fig. 6 and 7), and in the kinetic reduction rates by [73] at the compositions  $x_{ij}$  of the boundary of the subdomains correspond to abrupt and small-scale differences in internal energy and configurational entropy, due to the change of cluster type (or charge), and to the change in long range order of the clusters (see also [113] [114] in Fig. B1 of Annex B).

Note: Metastabilities encountered in most of the experimental studies can modify the location of the transitions  $i \rightleftharpoons j$ , *i.e.*, the characteristic  $z_{ij}$  values as determined by thermodynamic assessments, even suppressed them. As examples, the thermogravimetric isotherms (W area) in Raccah's study [40] are grouped together considering a sole modification in certain temperature intervals, without break all along from  $\gamma$ -Fe/ $W_i$  to  $W_i/Fe_3O_4$ : W3 at 920, 950, 1000°C, W2 at 1067, 1122, 1140 °C, W1 at 1160, 1167, 1200, 1250 °C.

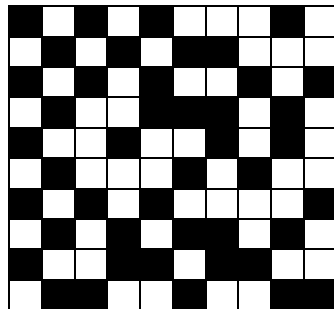
1-Low z values: pseudo-phase W1



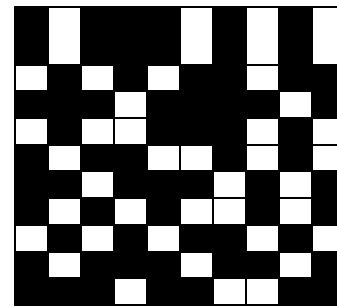
2-Start of percolation: threshold  $z_{1/2}$  value on the boundary W1/W2



3-Intermediate z values between the boundaries W1/W2 and W2/W3 (no percolation for white zones)



4- Pseudo-phase W3



5- Limit of existence of W3 (/Fe3O4)

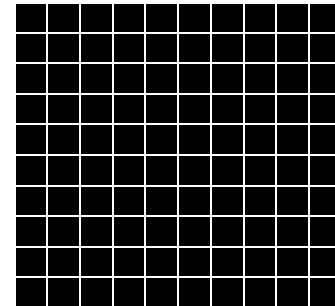


Fig. 20. Three configurations for CLZ (in black) disordered distributions, typically at 1000 °C from Fe/W1 to W3/Fe<sub>3</sub>O<sub>4</sub>: W1 = majority of zones without defect clusters; W2 = intermediate configuration; W3 = majority of zones with defect clusters.

## 11. Conclusions

**Point defects:** Up to now, the subdivision of the equilibrium phase diagram of wüstite appears to be complex with the existence of several domains corresponding to different thermodynamic behaviors and high order transitions (at least of second order). The departure from stoichiometry can be described by a complex system of cation vacancies noted  $V''$ ,  $V'$  or  $V^x$ , depending on their electrical charge or neutrality, giving rise to  $Fe_{Fe}^{\bullet}$  (cations  $Fe^{3+}$ ) coexisting with  $Fe_{Fe}$  (cations  $Fe^{2+}$ ) in octahedral sites, and  $Fe_i^{\bullet\bullet\bullet}$  (cations  $Fe^{3+}$ ) occupying the tetrahedral sites of the NaCl-type lattice. Changes in electrical charges must be taken into consideration, with electrons in the conduction band and holes in the valence band.

**Clusters:** Works from several different sources demonstrate the existence of clusters of defects constituted of iron vacancies coupled with cations  $Fe^{3+}$  in tetrahedral interstitial sites, and mixture of  $Fe^{2+}/Fe^{3+}$  cations in octahedral sites of the basic fcc lattice. These clusters can coexist with free vacancies. However, at present, the exact form of clusters noted (m:n) (m vacancies  $V^{q(o)}$  linked to n interstitials  $Fe_i^{\bullet\bullet\bullet}$ ) is far from being determined definitively.

The ratio  $R = (z+t)/t$  is obtained mainly from neutron diffraction experiments in the range 2 to 4 depending on the authors. Several authors observe quasi-constant R values in the range 2 to 3, while others observe a variable or constant value in the range 2.8 to 4. It can be noted that if the wüstite lattice is occupied solely by the (m:n) isolated cluster, then the ratio R is equal to  $(z+t)/t = m/n$ .

**The pseudo-phases:** inside the initial phase diagram, some experimental evidences from different authors support the existence of distinct areas corresponding more than likely to pseudo-phases W1, W2, W3. The term « boundary » designates a threshold of percolation at the exact separation between two thermodynamic behaviors, corresponding to progressive evolutions of short- and long-range orderings. An additional first order transition  $W \rightleftharpoons W'$  is directly linked to the first order transition  $\alpha\text{-Fe} \rightleftharpoons \gamma\text{-Fe}$  at 912 °C.

Up to now, the lack of systematic studies close to the isotherm at 912 °C limits the correct definition of this part of the Fe-O phase diagram, despite the availability of some advanced thermodynamic studies. The interpretation of them remains to be developed.

**Changes in cluster form:** With respect to the existence of clusters (m:n) and the variation of the ratio R observed by some authors, a first description of the pseudo-phases W1, W2, W3 is proposed in terms of structure changes of these clusters, with an increasing cluster size as z increased.

The ZnS-blende type (10:4) cluster can agree with neutron diffraction experiments at equilibrium and in quenched samples, and with electron microscopy images for some compositions z of quenched samples. The (12:4) <110> specific cluster, proposed by Lebreton and Hobbs, can also agree with a superstructure of P'' type (5a x 5a x 10a) for a sufficiently high composition z.

The existence of separated ordered cluster zones can agree with the slowly variable k parameter. Let us note that significant changes in cluster form (e.g., (13:4) or (10:4) transformed into (4:1)) as z or T vary have never been demonstrated for the entire phase diagram. In addition, in studies of samples at equilibrium or after a quenching process, all observations of superstructure Bragg peaks or diffuse scattering due to disorder show that the k value (k close to 2.6-2.7) varies slowly with z, thus characterizing a quasi-constant repetition distance between clusters. If the form of clusters (m:n) would change strongly, the repetition distance k.a would also change strongly, which is not observed.

**New possible description of the pseudo-phases:** Pseudo-phase W1 (low z values and high T values) would be characterized likely by free vacancies coexisting with a weak proportion of small zones of clusters. Pseudo-phase W3 (high z values and low T values) would correspond to clusters covering the whole lattice with a minimum distance of 2.55a between clusters and to a 5X superstructure resulting from a zigzag ordering of clusters. Pseudo-phase W2 is identified as a solution of W1 and W3 of opposite energetic evolutions above its critical temperature near 300 °C. This pseudo-phase is likely constituted of zones of ordered clusters and zones of free vacancies, with a progressive build up from boundary  $z_{1/2}$  to boundary  $z_{2/3}$  at which the junction arises between cluster zones that percolate.

The p to n transition might be associated with a starting of this percolation. This transition could be located in the W2 domain in a non-exclusive manner and is likely connected with a given change in electrical charges of vacancies and clusters.

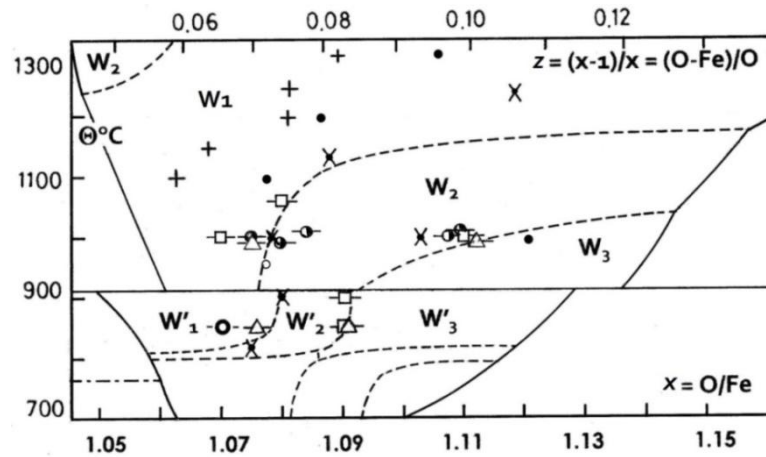
Finally, an additional feature can explain the observed electrical modifications. As z increases, the electrical charges of vacancies ( $V^{q(o)} = V'', V'$  or  $V^x$ ) and clusters could change with q varying from q=2 (low z) to q=0 (high z), due to interactions between charges. This modification of charges q is associated with an increasing number of electrons populating the conduction band.

**Transition close to 912 °C:** Up to now, the three varieties W'1, W'2 and W'3 associated to  $\alpha\text{-Fe}$  below 912 °C are not strictly characterized from a structural point of view. According to Worrall and Coley in their isotopic kinetic study, the defect structure identified on the surface of polycrystalline samples appears as being close to that identified for the pseudo-phases W1, W2, and W3.

Nonetheless, these authors in 2010 and 2013, following principally Wagner jr *et al.* in 1966 and Fender and Riley in 1969, have drawn new undisputable distinctions between the pseudo-phases that were identified thermodynamically as soon as 1962.

## Annex A

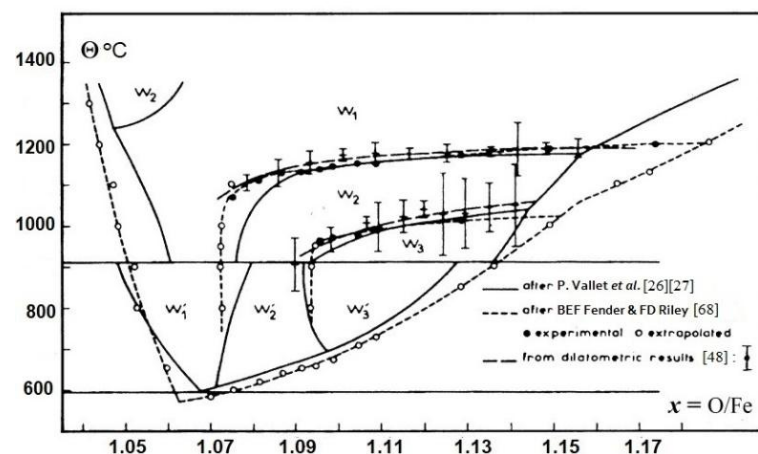
### 1 - Several sets from the literature of transitions located in diagram $\Theta(x)$



**Fig. A1.** Transitional effects located in the diagram  $[\Theta^{\circ}\text{C}, x]$ .

- a- Geiger, Levin, Wagner jr in ([65] p. 955 Table 4): “unexpected changes in the slopes of  $\log \sigma$  vs  $\log p\text{O}_2$ ”. ⇒ □
- b- Bransky, Hed [99]: breaking points at 1000, 1100, 1200, 1300 °C re-calculated for correlations of  $l'$  vs  $z$ . ⇒ ●
- c- Riecke, Bohnenkamp in ([116] p.722 Fig 7): reaction rate vs 100y (Fe1-yO) at 1000 °C,  $p_{\text{H}_2\text{O}}/p_{\text{H}_2}$  re-analyzed curves (I), (III) oxidation, curve (II) reduction [(I): +10°shift, (III): -10°shift]: change in slope of the quasi-linear segments. ⇒ ○
- d- Takayama, Kimizuka in [70]; see *supra* re-analyze of the isotherms in §3.7. « phase modifications ». ⇒ +
- e- Molenda, Stokłosa, Znamirowski in ([83] p. 517; Fig. 2 and p. 519): experimental  $\log(\sigma)$  vs  $l'$  (818-1307 °C, successive segments defined from Racciah-Vallet’s data to model the curvature; Fig.4: isothermal  $\sigma(y)$ , resulting intersections of successive linear segments of  $\sigma(y)$  ⇒ ×
- f- Worrall, Coley in [73]: another interpretation of Fig. 9 at 850 °C p. 821) give rise to an additional point close to  $x = 1.078$  as a second order type transition, identified for  $W^1 \leftrightarrow W^2$ . Another transition for  $x = 1.090$  appears as a discontinuity at the location of the transition  $W^2 \leftrightarrow W^3$  ⇒ △
- Point ○ located close to  $x = 1.070$  approximates the common intersection of the three lines for re-interpreting the kinetics at 850 °C (see Annex B2) ⇒ ○

### 2 - Two first versions of the $\Theta(x)$ phase diagram with three subdomains



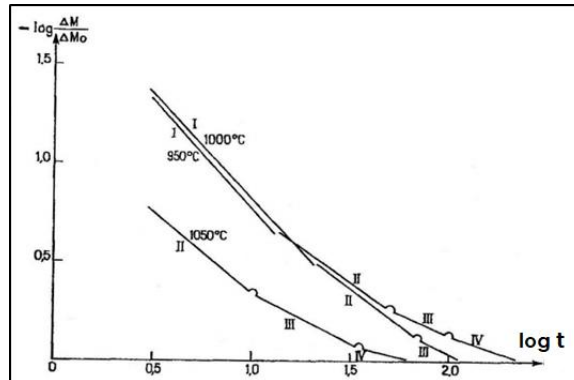
**Fig. A2.** Comparative plot of experimental phase diagram versions (from ref. [50]) by Vallet and Racciah [26] and Fender and Riley [67].

## Annex B

### 1 - Separate diffusion sequences in the thermogravimetric study of reduction in H<sub>2</sub>/H<sub>2</sub>O gas mixtures

The first experimental confirmation of the three *Wi* out of Vallet's team is published by [65] (see *supra* in Fig. A2). At the same time, the work of Landler and Komarek [113] becomes available.

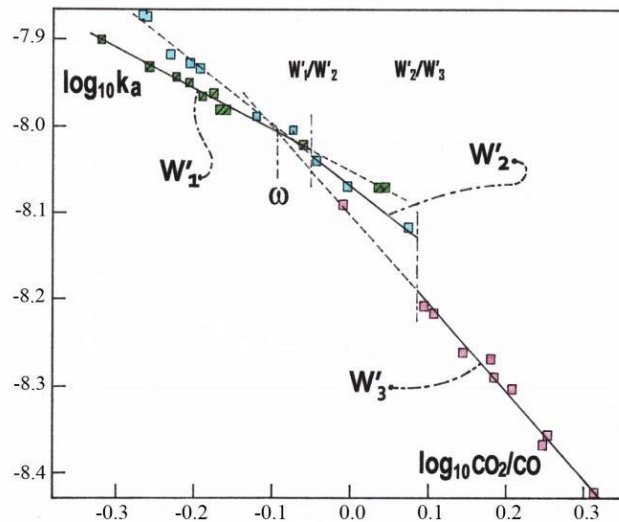
A numerical analysis makes be revealed three diffusion sequences distributed across the analyzed domain [114]. Fig. B1 is drawn as a summarized result of the determinations.



(from ref. [114])

**Fig. B1.** Typical runs of reduction (in H<sub>2</sub>/H<sub>2</sub>O gas mixtures under 1 atm) identified in ref. [113].

### 2 - Re-analysis of kinetic data related to the isotherm at 850 °C by Worrall and Coley [73]



(identified in ref. [73])

**Fig. B2.** Intersection  $W'1/W'2$  for  $\log CO_2/CO = -0.05052 \Rightarrow x=1.0776$ , expected 1.0779;  
 $W'2/W'3$  for  $\log CO_2/CO = -0.08468 \Rightarrow x=1.0903$ , expected 1.0901;  
 point  $\omega$ :  $x = 1.0698$  where the three variations are convergent.

In Fig. 9 p. 821 [73], it is possible to separate three linear variations of  $\log k_a = -m \cdot \log(CO_2/CO) + \log k_0$ , as displayed in Fig. B2, in place of only two variations. The L.S. coefficients  $m = 0.48 \pm 0.03$  ( $W'1$ ?),  $0.71 \pm 0.05$  ( $W'2$ ?),  $1.03 \pm 0.09$  ( $W'3$ ?) are statistically identical to those of equations [36], [37], [38] at 1268 K in ([73] p. 820). A discontinuity is observed at the location of the transition  $W'2 \leftrightarrow W'3$  forecasted at equilibrium by [29]. It likely corresponds to a sharp

process in the bulk and at the surface (nuclear or electronic change in cluster structure, change in percolation mode, germination of a superstructure ( $2.5a_0 \times 3$ )).

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