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# Article A 30 µW Embedded Real Time Cetacean Smart Detector

Sebastián Marzetti<sup>1,4</sup>, Valentin Gies<sup>1,2,4</sup>, Paul Best<sup>3,4</sup>, Valentin Barchasz<sup>1,2,4</sup>, Sébastien Paris<sup>3,4</sup>, Hervé Barthélémy<sup>1,4</sup>, Hervé Glotin<sup>2,3,4</sup>

- <sup>1</sup> Université de Toulon, Aix Marseille Univ, CNRS, IM2NP, Toulon, France
- <sup>2</sup> Université de Toulon, SMIoT, Toulon, France www.smiot.fr
- <sup>3</sup> Université de Toulon, Aix Marseille Univ, CNRS, LIS, DYNI, Toulon, France
- <sup>4</sup> Université de Toulon, INPS, Toulon, France
- \* Correspondence: gies@univ-tln.fr; Tel.: +33 628357685

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- Abstract: Cetacean monitoring is key to their protection. Understanding their behaviour relies on
- <sup>2</sup> multi-channel and high sampling rate under-water acoustic recordings for identifying and tracking
- them in a passive way. However, a lot of energy and data storage is required, requiring frequent
- 4 human maintenance operations. To cope with these constraints, an ultra-low power mixed signal
- always-on wake-up is proposed. Based on pulse pattern analysis, it can be used for triggering a
- 6 multi-channel high performance recorder only when cetacean clicks are detected, thus increasing
- autonomy and saving storage space. This detector is implemented as a mixed architecture making
- the most of analog and digital primitives : this combination improves drastically power consumption
- by processing high frequency data using analog features and lower frequency ones in a digital way.
- <sup>10</sup> Furthermore, a bioacoustic expert system is proposed for improving detection accuracy (in ultra
- <sup>11</sup> low-power) via state-machines.
- Power consumption of the system is lower than 30  $\mu$ *W* in always-on mode, allowing an autonomy
- of 2 years on a single *CR2032* battery cell with a high detection accuracy. The receiver operating
- characteristic (ROC) curve obtained has an area under curve of 85% using expert rules and 75%
- <sup>15</sup> without it. This implementation provides an excellent trade-off between detection accuracy and
- <sup>16</sup> power consumption. Focused on sperm whales, it can be tuned to detect other species emitting pulse
- trains. This approach facilitates biodiversity studies, reducing maintenance operations and allowing
- the use of lighter, more compact and portable recording equipment, as large batteries are not longer
- required. Additionally, recording only useful data helps to reduce the dataset labelling time.
- 20 Keywords: Embedded Artificial Intelligence, Ultra-Low Power, Pattern Detection, Expert System,
- <sup>21</sup> Biosonar, Always-On Wake-up, Pulse train.

#### 22 1. Introduction

- Every day, more than one hundred animal species disappear in the world. Since the  $16^{th}$  century at least 680 vertebrate species have been driven to extinction by human actions <sup>1</sup>.
- <sup>25</sup> Improving understanding of animals can help protecting them. Biodiversity monitoring is
- <sup>26</sup> fundamental for that, using complementary techniques going from recorded video analysis to manual
- <sup>27</sup> census. This article focuses on one of the most relevant technique : acoustic monitoring of animals
- <sup>28</sup> emitting sound pulses, bursts or frequency chirps [1]. This category involves several species, from the

 $<sup>^1 \</sup>quad https://www.un.org/sustainabledevelopment/blog/2019/05/nature-decline-unprecedented-report/$ 

smallest such as insects or birds emitting trains of voiced pulses [2,3] to the largest mammals. Indeed, most of the biomass emits trains of pulses mainly for echolocation, sometimes with high frequency pulses, sometimes at lower frequencies. Biosonars are a perfect examples of trains of clicks or pulses emissions in different propagation environments: air for bats, water for marine mammals. While usually high frequency such as for bats, biosonars can also rely on low frequency voiced pulses trains: an example is the blue whale, emitting strong pulses at a frequency of 25 Hz.

To illustrate our work, sperm whales (*Physeter macrocephalus*, Pm) have been chosen as a relevant case study. They use a biosonar to sense deep sea by echolocation, emitting click trains, and can be considered as a relevant case study as their echolocation technique is very close to other species. That means results obtained in this paper can be extended to other animals with minor adjustments such as modifying filters frequencies.

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Some cetacean species have been categorized as vulnerable among the endangered species. This 42 is a result of anthropogenic activities creating chemical and acoustic pollution, leading to a slow 43 decay of the populations. However, it is hard to cope with these global issues at a local scale. It is 44 not the same with fishing or transportation activities [4] which also cause many cetacean deaths due 45 to collision with boats or fishing by-catch [5,6]. In contrast with global issues, this can be locally 46 avoided by detecting cetaceans in real time : some boat and whale collisions could be prevented if 47 relevant alerts were sent to in time. This is the main purpose of this work, as well as monitoring them 48 accurately in order to gather a better knowledge about them. 49

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Sperm whale monitoring is subject to several constraints. When installed in strict nature reserves, 51 recording systems need to be autonomous for a very long time, leading to important requirements in 52 battery life, especially if used in always-on mode. On the other hand, echolocation pulses contain high 53 frequency information, requiring high sampling rate recordings, which consumes a lot of embedded 54 energy. As these sea giants are rarely present, in standard always-on recorders, most of this energy 55 is lost by recording empty sequences at a high sampling rate. in this sense, power consumption can 56 be drastically reduced by activating high performance recording and analysis only when animals are 57 suspected to be present. For our system, this relies on using an always-on ultra-low power wake-up 58 detector as a first step. It is the topic of this paper. A case study on a real sperm whales data-set is 59 presented with an embedded pulse detection technique. Detection accuracy is further improved by 60 using an expert rules system to reject false positives. 61

#### 62 1.1. Sperm whale biosonar

Sperm whales use echolocation for orientation and prey localization as shown in Fig. 1. Signals emitted are composed by sequences of *clicks* named *click trains*. [7–11]. A sperm whale click is a transient wave as shown Fig.2 and is composed of a direct and a reflected pulses emitted by pneumatic compression [12,13] (Fig.1). Depending on the relative orientation of the animal and the receiver, as well as the size of its head, the *Inter Pulse Intervals* (IPI) of this click going from 1 to 10 ms varies. Detection algorithms have to be compliant with these variations. IPI is an interesting feature to discriminate between species and will be discussed later in the paper, however in this study, as a proof of concept, we will first integrate ICI that is also a discriminant features of this species.

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<sup>72</sup> Multipulsed clicks are transients (Fig.2) with a frequency spectrum going from 8kHz to 20kHz<sup>73</sup> (Fig.3) [14–17]. Each click is separated from the next one by a time interval  $T_{InterClick} \in [100ms; 1s]$ .

Cetaceans monitoring is complex because these mammals are spending most of their life in deep water, going down to 2000 m under sea-level and moving on long distances. Moreover, due to the sparsity of these animals, they are rarely present in a given area. Consequently, when an



**Figure 1.** Sperm whale emission principle: a pulse is emitted by the distal air sac, propagated by the spermaceti organ and reflected by the frontal air sac.



Figure 2. Physeter Macrocephalus click signal.

- <sup>78</sup> always-on recorder is used for monitoring, memory storage and energy are spent in an inefficient
- <sup>79</sup> manner. This is all the more crucial when high frequency recordings with multiple hydrophones
- is performed (necessary to locate the acoustic emitters such as in [17–19]. This implies using large
- <sup>81</sup> batteries and storage capacities to be able to record in always-on mode sperm whales from multiple
- <sup>82</sup> hydrophones over a long period of time. This makes monitoring of these species a technical challenge,
- as bio-environmental equipment is heavy, expensive, costly to install and maintain. Thus, reducing the
- size and increasing autonomy of these recorders is key to facilitate biodiversity monitoring.

#### 85 1.2. Keys to ultra-low power monitoring system

To achieve ultra low power consumption in monitoring systems, continuous recording is not an efficient option as most of the time animals are not present. Instead, selective recording and analysis of times an animal has been detected is preferable. We thus choose to keep only simple detectors consuming a few  $\mu W$  always-on. In this way, power and data storage consumption can be drastically reduced by recording data only when the probability of having a cetacean signal is high.

However, in order to achieve a state-of-art detection accuracy, using ultra-low power detectors
can be too limited. Instead of that, it is advisable to implement the detector in three stages as shown in
Fig.4 in green, orange and red. These three stages correspond to the three different types of embedded
artificial intelligence implementations, each one having a different magnitude of power consumption



Figure 4. Cetacean Monitoring System.

<sup>96</sup> as shown in Fig.5. These three stages are described here :

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First stage: an ultra-low power mixed signal analog-digital acoustic wake-up system (green block in Fig.4): It processes high frequency input signal using analog primitives, triggering an event when high energy pulses at specific frequencies occur. It is also robust to ambient sea noise, as the latter is measured using analog filters and a digital ultra low power processor working at low frequency. Having a power consumption of 30 μW, it can be classified as an ultra-low power AI circuit as described in Fig.5.



Figure 5. Different types of embedded AI according to their power consumption

104	It also enters the category of ultra-low power wake-up, among other existing ones presented
105	in Tab. 1 and described here with their advantages and drawbacks. It is important to note that
106	in this comparison, most of proposed wake-up are standard envelope detectors without smart
107	features for improving their versatility and their detection capabilities such as :

- Adapting to ambient noise for adjusting detection level automatically.
- Classifying signals considering their spectrum.
  - Implementing temporal pattern detection for improving classification based on expert rules.

Application	Frequency	Ref	Power	Year	Noise	Embedded
	[Hz]		consump.		immunity	AI
			[µW]			
Seismic detection	$1.0 \times 10^{2}$	[20]	$4.0  imes 10^{-2}$	2015	No	No
Acoustic sensing and classification	$5.0  imes 10^2$	[21]	$1.2 \times 10^{-2}$	2018	No	Yes
Envelope detector wake-up	$1.0  imes 10^3$	[22]	1.8	2005	No	No
Voice activity detector wake-up	$5.0  imes 10^3$	[23]	6.0	2016	No	No
Cetacean click detector	$2.0 imes10^4$	This paper	$3.0  imes 10^1$	2021	Yes	Yes
Ultrasonic envelope detector wake-up	$^*4.1  imes 10^4$	[24]	1.0	2017	No	No
Ultrasonic wake-up	$*5.7  imes 10^4$	[25]	$8.0  imes 10^{-3}$	2019	No	No
Adjustable frequency detector wake-up	$3.0  imes 10^5$	[26]	$3.4  imes 10^1$	2018	No	No
AM signal detector wake-up	$3.2  imes 10^8$	[27]	7.4	2018	No	No
UHF signal detector wake-up	$2.0  imes 10^9$	[28]	$5.2  imes 10^1$	2008	No	No

\* Frequency cannot be changed

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Table 1. Comparison of proposed cetacean click detector and existing wake-up.

This comparison is ordered by the frequency capability of the detector, considering this has a strong impact on power consumption.

On of the most interesting implementation is a low frequency (500 Hz) acoustic signal processor able to distinguish cars, trucks and generators noises for a power consumption equal to 12 nW[21]. This one is too limited in frequency for cetaceans and cannot detect mixed temporal and

- frequency patterns such as sperm whales clicks, but achieves a remarkable ultra-low power
- consumption that can be considered as a milestone for a further silicon implementation of our
- solution. Another wake-up detecting low seismic frequencies is proposed in [20], featuring a

very low 40 *nW* power consumption but without classification capabilities and with a limited frequency range (40 to 100 *Hz*). [22] is also an energy efficient (1.8  $\mu$ W) envelope detector working at 1*kHz*, but doesn't implement advanced AI features anymore. These first 3 implementations have a very low power consumption corresponding to limited operation frequencies, and cannot be used for cetacean detection because of that.

[24,25] are implementations able to deal with sperm whales signal frequencies. They are energy 124 efficient but focused on detection of given and fixed specific ultra-sonic frequencies (41 kHz and 125 57 kHz respectively). Used for remote activation of devices, they can not be used for pattern 126 detection with temporal and frequency analysis. [26,29] have a wide input frequency range, 127 but are based on digital or analog discrete circuits making their power consumption higher 128 than previous implementations (34  $\mu$ W). However, they can be used for detecting an adjustable 129 specific frequency in a narrow band, making them interesting for several applications, but cannot 130 implement cetacean detection experts rules. 131

Higher frequency wake-up are proposed for comparison purpose such as [28] working at 2 GHz: it is a radio frequency (RF) detector having a power consumption of 52  $\mu$ W. [27] presents an interesting optimized envelope detector for amplitude-modulated (AM) signal at 315 MHz. It uses an interesting technique of frequency shifting using passive components for reducing power consumption, but can not implements AI detection rules.

Finally, none of the solutions presented in Tab.1 can be used for detecting cetaceans and more precisely sperm whales clicks : this is the topic of this paper and proposed solution is described extensively in Section 2, combining ultra-low power analog features and digital processing computed using the *sensor controller* of a low-power SoC. This allows to achieve low power detection of complex events based on their frequency and temporal attributes at a rather high frequency (20 kHz).

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 Second stage: a low-power micro-controller is implemented as a second stage detector (orange 144 block in Fig.4), adding the ability to automatically tune the sensitivity of the first stage. It is 145 implemented on a low power microcontroller (ARM M4). Described in Section 3.3, one of 146 its features is a state machine implementing expert rules : events generated by the ultra low 147 power detector (first stage) are analysed to avoid some false-positives and thus improving click 148 detection reliability. Another option for this second stage could be to use an embedded shallow 149 neural network such as in [30-32]. State machine analysis is performed only when events have 150 been generated by the first stage, leading to a very low microcontroller activity (less than 0.01%) 151 of the time). Consequently, average power consumption of this stage is lower than the first 152 one, even if this instantaneous consumption is a M4 microcontroller one during the analysis. A 153 second state machine, is implemented to dynamically tune click detection sensitivity of the first 154 stage detector, according to current false positive and true positive rates observed. It is described 155 extensively in Section 2. 156

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• Third stage: a higher power 4-channels recorder (red block in Fig.4), able to compute 158 deep-learning signal analysis, is triggered by the second stage. It allows recording several 159 channels at a high sampling rate, but consumes a lot of energy (more than 1.2W). It implements 160 24 bits analog digital converter (ADC), 512 ksps temporal resolution, and channel synchronization, 16: all of which are key to allow sound source classification and localization. This recorder is started 162 only when clicks have been validated by the expert rule state machine, reducing drastically its 163 average power consumption compared to an always-on recorder. Active less than 0.05% of the 164 time in real conditions (due to the sparsity of sperm-whales), it extends the battery life of the 165 recorder by a factor 2000, reducing its average power consumption to less than 1mW. This allows 166 an important battery and overall size reduction, easing its installation and maintenance while 167 reducing its cost. This high resolution recorder named Qualilife HighBlue [18] has been designed 168

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173 This data acquisition system is embedded in a waterproof sonobuoy designed by OSEAN company in

- France (Fig.6). This sonobuoy nammed Bombyx2, is the version 2 of Bombyx1 [34]. It is designed and
- used for the next five years to monitor cetaceans presence in real-time in order to prevent collisions
- with ships in Cetacean sanctuary Pelagos and elsewhere [5].
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**Figure 6.** Bombyx2 - Project Interreg Maritime GIAS (whale anti-collision sonobuoy), here presented with communication antenna (4G or Iridium antenna) but without the acoustic 5 hydrophones antenna. The complete Bombyx2 is co-designed by LIS DYNI and IM2NP laboratories, SMIoT platform, OSEAN company.

It is important to note that this paper only focuses on the ultra-low power part of the wake-up system as well as the dynamic expert rules based tuning algorithm : Section 2 presents the ultra-low power cetacean click detector, Section 3 describes optimization of the algorithm using experts rules and automatic gain control. Results of both detector stages are discussed in their respective sections and a final conclusion is presented in section 4.

## 2. Ultra-Low Power Always-On Wake-Up Based on Acoustic Energy Analysis

### 184 2.1. System architecture

The ultra-low power sperm whales pulse detector first and second stage implementation is presented in Fig. 7 as a functional block diagram. It aims at detecting sudden increases in acoustic level on well-defined frequencies (8 *kHz to* 20 *kHz*) characteristic of cetacean clicks. It relies on the
 following circuits having ultra-low power consumption:



Figure 7. Sperm Whales detector block diagram.

• Passive piezoelectric hydrophone having a measurement bandwidth of *50kHz*. This passive sensor has been chosen to minimize power consumption, compared to amplified ones.

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• Charge amplifier to amplify the piezoelectric charge signal. Integrator having a high input impedance, it converts acoustic piezo sensor charge into voltage, multiplying it by the inverse of the capacitance  $C_1$  in the feedback path as shown on Fig. 9. Without considering the additional resistor, this leads to:

$$V_{Out} = \frac{1}{C_1} \int \frac{dQ}{dt}$$

Additional resistor  $R_1$  forms a low-pass filter with  $C_1$  to limit the frequency bandwidth of the hydrophone to 20 kHz, the maximum frequency present in sperm whales clicks. Power consumption of this block is: 0.9  $\mu A$ .

• High-pass amplifier: as sperm whales pulse frequencies spread from 8 to 20 kHz, a band pass filter is used to focus only on relevant signal. This filter gain Bode diagram is presented in Fig.



Figure 8. Electronic circuit of the always-on wake-up. ultra-low power parts are in green, low-power digital parts are in yellow. Voltage regulator and 1.65V reference are not presented.



Figure 9. Cetacean click signal in output of the charge amplifier. Three clicks are present in the signal as well as sea noise.

10. This band-pass filter is formed by the preceding low-pass filter and a high-pass amplifying 198 filter cutting near 8 kHz. Amplification factor is 10. An ultra-low power op-amp (MAXIM MAX409A [35]) is used in this block, having a power consumption of  $1 \mu A$  for a gain bandwidth 200



Figure 10. High-pass active filter measured frequency response.

- Low Pass Peak Detector: signal envelope is extracted using passive components: a diode [36] 203 and a RC low pass filter having a time constant equal to 10ms. This peak detector gathers multiples click reverberations P0, P1 and P2 (Fig. 2) of a cetacean click into one single detection 205 as shown in Fig. 12. Power consumption of this passive block is null. 206
- It is important to note that signal envelope is properly detected when input signal is increasing, 207 but not when it is decreasing : output cannot decrease faster than the RC discharge. Thus, 208 measuring output pulse time returns a result more related to pulse amplitude than to its duration. Improving this can be done by adding a reset circuit activated when input signal stays under a 210 given threshold during a very short time. This have been considered in this work, but this circuit 211 also add an additional power consumption that is not worthwhile. As explained in Section 3, it 212 is useful to distinguish between cetacean clicks and periodic anthropogenic noise : sperm whales 213 clicks are very short pulses (1 ms) separated by a long pulse interval (100 ms to 1 s) whereas 214 common anthropogenic noises are mainly continuously repetitive ones (motor boats vibrations 215 for example). In these conditions, adding a RC discharge having a duration of 3RC = 30ms to 216 the cetacean pulses doesn't affect the algorithm for detecting isolated pulses repeated with a 217 long time interval. Thus, reset circuit is not necessary and has been removed. 218
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- Low pass filter for sea noise estimation : in order to avoid false positives, average sea noise 220 level must be estimated as a reference value for peak extraction. This is done using a low-pass filter with a cutoff frequency of 0.1 Hz in output of the peak detector. When a stationary noise is present, output  $V_{Noise}$  increases as it depends on the average of successive input signal amplitudes. In this way, a heavy swell or a motorboat cruising around the hydrophone will increase  $V_{Noise}$ , whereas an isolated sperm whale click will not change the input average amplitude and therefore  $V_{Noise}$ . Thus, this long period low-pass filter provides an estimate of the sea noise level in the frequency band of interest. To implement this block, a voltage follower (implemented using an operational amplifier LPV811 [37]) acting as a voltage buffer is added between the peak detector and the low-pass filter, consuming 600 nA.
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- Comparator: this component is responsible for comparing the signal envelope (output of the peak detector) to a reference value  $V_{Ref}$  proportional to the estimated average sea noise level. When the output of peak detector block is higher than the reference value, a detection event is generated, triggering an interrupt in the processing microcontroller. The power consumption of this ultra-low power analog comparator is 320 nA.
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- Reference value  $V_{Ref}$  generation: this feature is fundamental for the algorithm reliability. In a first approach, it seems evident to set  $V_{Ref} = KV_{Noise}$ . However, for a calm sea, this noise level will be very low, and possibly under the inner noise level of the hydrophone combined with its

amplifying chain, leading to an important false positive ratio detection. Thus, a constant has to be added to ensure a reliable detection. This leads to Equation 1 :

$$V_{Ref} = K_1 V_{Noise} + K_2, \tag{1}$$

where  $K_1$  and  $K_2$  are constants to be optimized according to AUC or specific fonctional points. Too small  $K_1$  and  $K_2$  generate false alarms as the reference level of the comparator is low, while high  $K_1$  and  $K_2$  constants result in a loss of smallest clicks of a distant sperm whale, as well as when the average sea noise level is important.

<sup>241</sup> Doing these adjustments using analog circuits (Fig. 11) requires an adjustable constant generator, <sup>242</sup> an adjustable amplifier and an adder. This solution has a power consumption of 58  $\mu$ *A*, mainly <sup>243</sup> due to the use of digital potentiometers allowing up to 1 *M* $\Omega$  resistances, such as AD5222[38], <sup>243</sup> consuming 40  $\mu$ *A*.



Figure 11. Alternative circuit diagram for comparator reference adjustment.

Compared with other parts of the analog front-end, the comparator reference adjustment 245 power consumption is too high and needs to be reduced. There is another way of proceeding, less power consuming and more versatile : using an ultra-low power digital processor used 247 to acquire average sea noise level  $V_{Noise}$  with an analog to digital converter (ADC) at a low 248 sampling frequency rate. Then, computing  $V_{Ref}$  is done digitally and converted into an analog 249 value entering the comparator, using an ultra-low power Digital Analog Converter (DAC). These 250 operations are implemented using an ultra-low power system on chip (CC2652[39]) having a circuit dedicated to low frequency operations, named sensor controller. This circuit can be 252 activated while the main processor is in sleep mode, leading to an ultra low power consumption 253 of 4  $\mu A$  for ADC conversion of average sea noise level at 0.1 Hz. A LTC1662[40] DAC is 254 used to convert the reference value transmitted by the *sensor controller* in SPI to an analogical 255 value. Corresponding power consumption is  $1.5 \,\mu A$ , leading to an overall consumption of  $5.5 \,\mu A$ . 256

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This shows that mixing analog and digital ultra low power techniques can be a power efficient
 way of processing an analog signal. It makes the most of using analog computations for high
 frequency signal processing and digital computations for low frequency ones.

It is important to note that in Equation 1, constants  $K_1$  and  $K_2$  are fixed hyper-parameters of the model that can be adjusted by grid search algorithms in order to maximize click



**Figure 12.** Real cetacean clicks and analog detection algorithm waveforms. High-pass filtered input signal is in orange,  $V_{Ref}$  in yellow, click envelope in grey and comparator output in blue.

detection accuracy as described in 2.2. However, depending on experimental conditions such as anthropogenic noise, sea noise evaluation can be biased, leading to false alarms. In this case, algorithm reliability can be improved by adjusting dynamically  $K_1$  and  $K_2$  in order to avoid false alarms. This adjustments are done using a state machine based automatic gain control introduced in Section 3.

Voltage regulator: a 3.3V supply voltage value has been chosen. Powered by a single Li-Ion 3.7V cell, a Microchip *MCP1810*[41] linear voltage regulator is used, having a power consumption of 20*nA*. Reducing the input voltage to 1.8V would be a good option and will be done in a further work.

Voltage reference: a single 3.3V supply is used, requiring to generate a virtual 1.65V ground voltage. This in done using a 1.65V voltage divider followed by an analog buffer (using a LPV811 opeartional amplifier[37]) having a current consumption of 0.58 µA.

Table 2 shows the power consumption of each circuit used in the ultra-low power part of the click 278 detector, leading to an aggregated power consumption of  $12.5 \,\mu A$ , without using expert system state 279 machines. Measurements have been done using a custom mixed analog-digital breadboard shown on 280 Fig. 13 and designed for this project. This board is fully functional and allows to measure precisely 281 power consumption of each analog and digital modules by physically connecting or disconnecting 282 power supplies of each stage. Considering there are limited space constraints in the sonobuoy, 283 this breadboard has been used in this form during experiments. Its size will be reduced in the 284 future, but without changing anything to the implemented analog features. However, it is noticeable 285 that many available features in the development board shown on Fig 13 have not been used. 4 286 Sallen-Key structures, 4 multiple feedback (MFB) structure, 4 peak detectors, 2 DAC, 4 passive filters, 287 4 comparators and 4 delay lines are available on the board, with a CC2652 for the digital part. Only 288 2 Sallen-Key structures, 1 peak detector, 1 passive low-pass filter, 1 comparator and the CC2652 SoC 289 have been used for implementing the system (plus one more analog structure for the charge amplifier 290 that can be implemented using an additional MFB structure, but is not in the scope of this paper). 291 Each block of the analog implementation is detailed in Table 2. 292

#### 293 2.2. Results

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Signal waveforms obtained using the analog detection algorithm are shown on Fig. 12. As explained before, an important feature of the proposed ultra-low power cetacean detector is the ability to automatically tune the comparator reference value  $V_{Ref}$  described in Eq. 1 depending on the sea ambient noise. This equation uses 2 fixed hyper-parameters  $K_1$  and  $K_2$  that have to be optimised in

Circuit	Current [µA]	Picture Board	Schematic	Associated datasheet
High-pass Amplifier	4.0	5 R77 R78 R79 R79 R79 R79 R81 R81 SK1	Vcc/2 100 KΩ 910 KΩ 910 KΩ Vcc MAX409A Out 1 μF 222 KΩ Vcc/2	MAX409 [35]
Peak Detector	-	Ra <b>PKD1</b> J36 C622 J38 IR152	SD103AW In Out 1 MΩ 10 nF Vcc/2	SD103AW [36]
Sea noise Low frequency Low-pass Filter	0.6		Vcc LPV811 Vcc/2 Vcc/2	LPV811 [37]
DAC	1.5		SPI LTC1662	LTC1662 [40]
Comparator	0.3		Vcc/2 In-+ TS881 Out In	TS881 [42]
voltage regulator	0.02	C4 M1610 J1 C4 M1700 J6	2.2 µF	MCP1810 [41]
1.65V voltage reference	0.58	C60 C60 C60 C60 C60 C60 C60 C60 C60 C60	5.6 MΩ 5.6 MΩ 1 μF Vcc LPV811 Vcc/2 V	LPV811 [37]
Sensor Controller using a 10 Hz timer	4.5	45 C30 C24	Anator Sri Interrups Soc Digite Processing ADC K1*VNoise+K2 Timer Sensor Controller Sensor Controller	CC2652 [39]
Complete ultra-low power hardware	12.5			

**Table 2.** Always-on ultra-low power analog circuits implementation and power consumption ( $\mu A$ ).

<sup>&</sup>lt;sup>298</sup> order to maximise classification accuracy.



Figure 13. Analog test and power measurement board.

Performance is evaluated using a test set of 100 underwater recordings, with and without sperm whale clicks. They are chosen at random in a labelled database composed of samples from the BOMBYX project sonobuoy [34]. The dataset is composed of 8% of clean samples, and 92% of noisy ones (either boat noise or white noise were added at -6, 0, 6, 12, 18, 24 dB signal-to-noise ratio (SNR)). There is equal number of positive and negative samples. For *Findability, Accessibility, Interoperability, and Reuse* (FAIR) comparisons to other detectors, the full labeled dataset (including pulses of another mediterranean fin whales) is available online at SABIOD.org<sup>2</sup>.

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Receiver Operating Characteristic (ROC) curve of the ultra-low power click detector is presented in blue in Fig. 15: Area Under the Curve (AUC) is 75%. An optimal configuration corresponds to  $K_1 = 4.3$  and  $K_2 = 0.25V$ . This is a relevant achievement considering system power consumption is only 12.5  $\mu A$ . Moreover, it is important to note that the reference database is mainly focused on sperm whales and motorboats but has very few samples of silent sea. Consequently, detecting clicks on this database is much more difficult than it would be in real conditions with a frequently quiet sea.

#### 314 3. Improving click detection using expert bioacoustic rules

The proposed ultra-low power click detector relies on the real time analysis of the input acoustic signal in order to detect a sudden increase in its energy. The output of the comparator corresponds to a logical 1 during a click. However, other types of acoustic signal having a high level in high frequencies, such as a motorboat running close to the hydrophone, can also trigger the comparator, leading to false alarms.

<sup>&</sup>lt;sup>2</sup> http://sabiod.org/pub/dataset/PhyseterM-and-BalaenopteraP-dataset.zip

#### 320 3.1. Implementing expert rules in ultra-low power using state machines

In order to improve the accuracy of the detection algorithm, an ultra-low power improvement based on two bioacoustical expert rules is proposed. It relies on :

• Click duration : a cetacean click has a well-known shape [13], its main peak lasts between 50  $\mu s$ and 200  $\mu s$  and can be repeated a few times. This first expert rule is used to decide whether the received pulse fits this interval or not, corresponding to a high probability for the received signal to be a click.

• Inter-click interval : time between two successive clicks is also well-defined (*Interval*  $\in$  [100*ms*; 1*s*]) [13] and can be used as a second expert rule to confirm if two successive clicks can be part of a click train or not. Process is iterated on each new click.

These expert rules can be implemented efficiently using a micro-controller. As described in the 330 left diagram of Fig.14, it relies on a simple state machine, leading to a very low additional power 331 consumption. When an event (rising edge) is generated in output of the comparator, meaning that a 332 high energy signal has been received in the given frequency band, the state machine starts waiting for 333 a falling edge. The latter corresponds to the end of the high energy pulse whose duration is compared 334 to a reference interval (the first expert rule) in order to determine whether the pulse is consistent with 335 the possible acoustic emission of sperm whales or not. If it is, a click counter is incremented and the 336 next click detection is started. If the click is not the first one, inter-click duration is calculated and 337 compared to a second reference interval using the second expert rule. If the inter-click duration is in 338 the interval, click detection is validated and a *true positive* (TP) counter is incremented, otherwise a 339 false positive (FP) counter is incremented.

#### 341 3.2. Automatic gain control

As described before, using expert rules also allows to get indications on the number of true and 342 false positives. This is useful to fine tune the main detection algorithm and especially  $K_1$  and  $K_2$ constants used to generate the comparator reference as described in Equation 1. If the constant is 344 too high, clicks will be missed, if it is too low, the comparator output will be triggered on unwanted 345 signals. In order to dynamically adjust these constants, a second state machine implementing an 346 automatic gain control has been proposed and is shown on the right side of Fig. 14. It uses the 347 false-positive and true-positive counters incremented in the click detector state machine. Each time 348 a click is identified as part of a click train, the true positive counter  $Count_{TP}$  is incremented. Each time a pulse or an inter-pulse length does not comply with the expert rules, the false positive counter 350 *Count<sub>FP</sub>* is incremented. Periodically, these counters values are reset to 0. Following situations can 351 occur as shown in the state machine of Fig.14: 352

- If  $Count_{FP} = 0$  and  $Count_{TP} > 0$ , clicks are detected. In this situation, gain is well-tuned and is not adjusted.
- If  $Count_{FP} = Count_{TP} = 0$ , nothing is detected, neither clicks nor other signals. Sensitivity of detection can be increased by reducing simultaneously gain  $K_1$  and  $K_2$ , with a minimum value of  $K_1 = 2$ . This reduces the reference voltage corresponding to the sea noise level (yellow line on Fig.12)
- If  $Count_{FP} > 0$ , false alarms are present, and gains  $K_1$  and  $K_2$  have to be increased simultaneously in order to avoid detection of sea noise. This can happen when weather conditions are changing very quickly or boats are approaching.

Considering power consumption, this automatic gain control can be implemented in ultra-low power, because adjustment is done when a click has been validated or not in a few  $\mu s$ .

364 3.3. Results

Results (ROC curves) of the improved detection algorithm using expert rules are shown on Fig.15.

Performance is evaluated using the same test set of 100 recordings as for the click detection algorithm.



**Figure 14.** Cetacean click detection state machine (left), and automatic gain control state machine (right).

Area Under the Curve (AUC) is equal to 85%, compared to 75% without using the expert rules.

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Power consumption impact of these expert rules and automatic gain control is low: current is increased by 4.5  $\mu A$  (measured value), mainly because of a timer used for evaluating pulse and inter-pulses duration has been activated. This limited additional power consumption allows to avoid false alarms, reducing the number of high frequency recorder activation, thus saving battery power by avoiding unnecessary high-power recordings.



**Figure 15.** Click detector ROC curve using the state machine and expert rules validation (in yellow), versus without it (in blue) for different values of  $K_1$  parameter. Area under the ROC curve is 85% using the state machine and expert rules, versus 75% without.

Proposed embedded ultra-low power cetacean detector can also be compared with a standard 375 solution using a convolutional neural network (CNN) [43], trained and tested using the same dataset. 376 This network has approximately 10.000 parameters. Its input signal is a windowed 512 points 377 short-time Fourier transform (STFT), split using a Mel-Spectrum front-end. It is processed by 3 378 depth-wise convolution layers of 64 features. Complexity of this CNN illustrates how it can be 379 difficult to detect cetacean clicks : its corresponding area under the curve (AUC) is 92% for a power 380 consumption of 543.51 mW. As shown in Tab.3, proposed ultra-low power detector has an AUC of 381 85% (7% less accurate than the CNN solution), but its power consumption is approximately 18000 382 times lower. Thus, this makes it particularly relevant to be embedded in a buoy for real time long term 383 cetacean monitoring. 384

	Power Consumption [µW]	AUC [%]
Proposed smart detector	30	85
CNN detector [43]	$54 \times 10^{4}$	92

**Table 3.** Area Under the Curve (AUC) and power consumption comparison with the state-of-the-art detectors using the labelled database composed of samples from the BOMBYX project sonobuoy [34].

#### 385 4. Conclusion

In this paper, a mixed analog-digital always-on ultra-low power smart wake-up based on pulse pattern analysis is presented. It is used for triggering a high-performance multi-channel recorder only when necessary. Its architecture makes the most of ultra-low power analogical primitives coupled with an embedded digital low-power system for fine tuning the pulse detector in order to reduce energy consumption. An ultra-low power application to sperm-whales click detection is proposed. Overall measured power consumption of the basic click detector is  $12.5 \ \mu A$ . Tested on a labelled dataset that is more difficult than real conditions, its area under the receiver operating characteristic (ROC) curve is equal to 75%. It can be improved using expert rules implemented with state machines, leading to an area under the curve of 85%, while consuming only  $17 \ \mu A$  in operational conditions. This allows an autonomy of 2 years on a single CR2032 battery cell.

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Further work will focus on more complex pattern analyses, based on same principle : merging frequency filter bank and heterogeneous temporal features analysis. More precisely, IPI feature for this species is also being integrated in an enhanced multiscaled version of the state machine to increase its AUC. Existing CNN is integrating this IPI pattern. One could reach the CNN AUC with this completed state machine, but in Ultra Low Power. IPI is also an efficient feature to discriminate between individuals of different sizes, and then to better estimate the number of individuals in an area. This would be another output of the state machine.

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